

**EXPLANATORY NOTE TO DRAFT RECOMMENDATION BY ICCAT FOR A
JOINT INTERNATIONAL INSPECTION SCHEME IN THE WESTERN ATLANTIC**

Proposal presented by Canada

In June 2021, Canada presented a discussion paper to IMM entitled “ICCAT Scheme of Joint International Inspection in the Western Atlantic International Waters of the ICCAT Convention Area.” Canada recalled that both the existing and amended versions of the Convention call on Contracting Parties to undertake to collaborate with each other on an international enforcement system to ensure the application of the Convention’s provisions in waters beyond national jurisdiction. Canada also identified that, although two ICCAT fisheries (eastern Atlantic and Mediterranean bluefin tuna, and Mediterranean swordfish) have already adopted a Scheme of Joint International Inspection as part of their conservation and management measures, no such scheme exists in the international waters of the western Atlantic ICCAT Convention area.

Taking inspiration from other similar proposals submitted in recent years, Canada proposes a Scheme of Joint Inspection that would apply to all fishing occurring in the western Atlantic portion of the ICCAT Convention Area. By limiting the geographical scope to the western Atlantic, the proposed Scheme seeks to improve inspection capacity and promote compliance in the Convention Area without duplicating or interfering with the existing schemes for eastern and Mediterranean bluefin tuna and Mediterranean swordfish. However, this Scheme would not be attached to any particular ICCAT species management measure in order to promote compliance with all relevant conservation and management measures, and to detect and combat illegal, unreported, and unregulated (IUU) fishing throughout the region.

A crucial feature of this Scheme would be to foster cooperation and information sharing between CPCs through joint inspection operations. CPCs interested in participating in the Scheme as inspectors could appoint inspectors and join the operations of another CPC’s inspection vessels, thus building broader inspection capacity and a better understanding of diverse fishing operations.

Canada invites responses and comments from all CPCs on this proposal in advance of the Regular Meeting, including suggestions on how the text could be improved, better aligned with existing schemes, or ways to make the proposed scheme more practical in its operation.

**DRAFT RECOMMENDATION BY ICCAT FOR A
JOINT INTERNATIONAL INSPECTION SCHEME IN THE WESTERN ATLANTIC**

Presented by Canada

RECALLING Recommendation 75-02 for a Scheme of Joint International Inspection, Annex 7 of Recommendation 19-04 establishing a joint international inspection scheme for the eastern Atlantic and Mediterranean bluefin tuna fishery, and Annex 1 of Recommendation 16-05 establishing a joint international inspection scheme for the Mediterranean Swordfish fishery;

FURTHER RECALLING Recommendation 19-09 on Vessel Sightings, and Recommendation 98-11 Concerning the Ban on Landings and Transshipments of Vessels from Non-Contracting Parties Identified as Having Committed a Serious Infringement;

RECALLING ALSO the General Outline of Integrated Monitoring Measures adopted at the 13th Special Meeting of the Commission (Doc. 02-31);

DESIRING to collaborate in the adoption of a system of joint international enforcement as provided in paragraph 3 of Article IX of the ICCAT Convention;

INTENDING to strengthen ICCAT's monitoring, control, and surveillance regime to promote compliance with the ICCAT Convention and the Recommendations of the Commission by expanding the use of a joint international inspection regime to the Western Atlantic; and

RECOGNIZING the value of establishing a Scheme of Joint International Inspection for the western Atlantic that reflects current international standards and is available for expansion to other fisheries or areas under the jurisdiction of ICCAT.

**THE INTERNATIONAL COMMISSION FOR THE
CONSERVATION OF ATLANTIC TUNAS RECOMMENDS THAT:**

A Scheme of Joint International Inspection in the Western Atlantic be established as follows:

Section I: Definitions

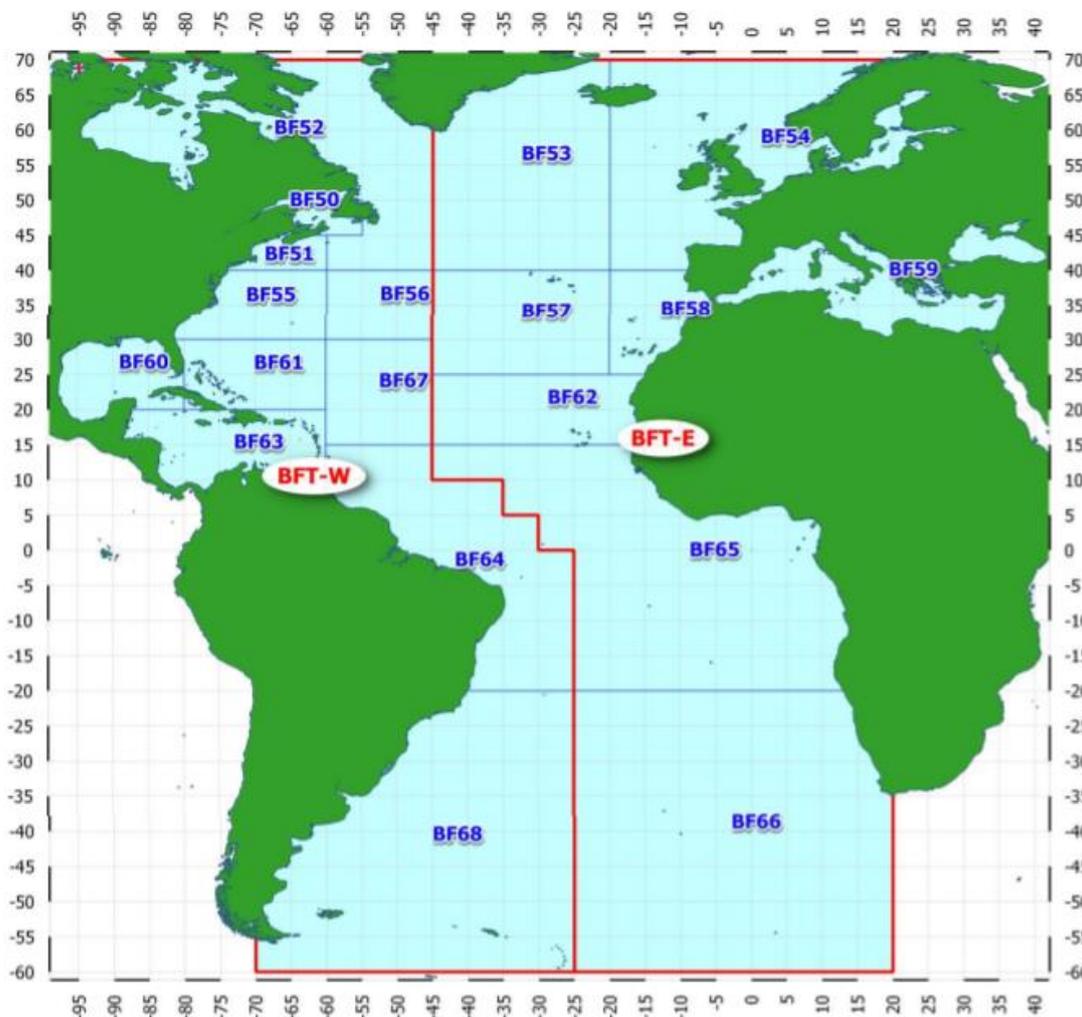
For the purpose of the Scheme of Joint International Inspection:

1. "Fishing" means the catching, taking, or harvesting of fishery resources under the competence of ICCAT; the attempted catching, taking, or harvesting of such resources; or any other activity which can reasonably be expected to result in the catching, taking, or harvesting of such resources;
2. "Fishing activities" means fishing and any other activity in preparation for, in support of, or related to fishing, including storage, processing, transporting, transferring fish to or from cages, and transshipment of fish or fish products;
3. "Fishing vessel" means any powered vessel used for, intended to be used for, or equipped for use for fishing activities including catching vessels, support vessels, fish processing vessels, towing vessels, transport vessels and any other vessel directly engaged in fishing activities;
4. "Inspection vessel" means any vessel authorized by a Contracting Party or Cooperating non-Contracting Party, Entity or Fishing Entity (CPC) and assigned to the ICCAT register of inspection vessels under the Joint International Inspection Scheme;
5. "Inspector" means an official designated and authorized by a CPC and assigned to conduct boarding and inspections in the ICCAT Convention area under the Joint International Inspection Scheme;

6. "Scheme" means the Joint International Inspection Scheme established by this Recommendation.
7. "IUU fishing" means activities as defined in paragraph 3 of the FAO International Plan of Action to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing, and as further defined in paragraph 1 of Recommendation 18-08 Establishing a List of Vessels Presumed to Have Carried Out Illegal, Unreported and Unregulated Fishing Activity.

Section II: Purpose and area of application

8. International boarding and inspection conducted pursuant to this Scheme is intended to monitor compliance with the ICCAT Convention and related Recommendations in force.
9. This Scheme applies in the ICCAT Convention area beyond areas under national jurisdiction in the Western Atlantic and to fishing activities that occurred in that area. [Map below is taken from ICCAT geographical definitions (Version: 2016.02 EN) at https://www.iccat.int/Data/ICCAT_maps.pdf; if there is a better way to describe the Western Area in this Recommendation, suggestions are welcome.]



Section III: General provisions

Duties of the CPCs

10. All CPCs are encouraged to provide inspectors and inspection vessels according to their capacity to do so, and may begin to participate in conducting inspections under this Scheme at any time.
11. Each CPC shall take such measures as may be necessary to ensure that fishing vessels entitled to fly its flag, their Masters, and its inspection vessels and/or inspectors if applicable, fulfil their respective duties and requirements as described in this Recommendation.
12. Within 30 days of the start date of this Scheme, each CPC shall advise the Executive Secretary of a point of contact for the purposes of receiving notifications, inspection reports and immediate notification of infringements pursuant to this Scheme. It shall notify any changes to this information to the Executive Secretary as soon as possible, but no more than 14 days after the effective date of the change.
13. Boarding and inspections shall be carried out by inspectors and inspection vessels assigned to the Scheme by a CPC pursuant to paragraph 14, below.

Notification requirements

14. A CPC that intends to conduct boarding and inspection under the Scheme, including by deploying inspectors on board the inspection vessel of another CPC pursuant to an agreement under paragraph 15, shall:

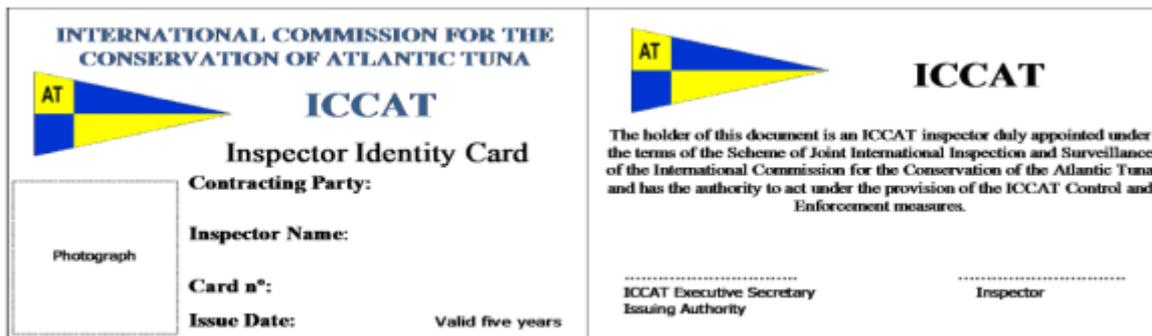
a) so notify the Executive Secretary, no later than 30 days in advance of the inspection vessel or inspector's deployment, providing the following particulars:

(i) its national authority responsible for at-sea inspection, as well as the name and contact details (including telephone and fax numbers and e-mail address) for a point of contact within that authority;

(ii) with respect to inspectors it assigns pursuant to these procedures: (A) the names of the authorities responsible for boarding and inspection; (B) notification that such authorities' inspectors are fully familiar with the fishing activities to be inspected and the provisions of the Convention and conservation and management measures in force; and (C) notification that such authorities' inspectors have received and completed training in carrying out boarding and inspection activities at sea in accordance with any standards and procedures as may be adopted by the Commission.;

(iii) an example of the credentials issued to inspectors by the national authority referred to in subparagraph (i) above, except where a Recommendation requires the following ICCAT-approved credential:

Dimensions: Width 10.4cm, Height 7cm



and

(iv) for each inspection vessel designated by a national authority referred to in subparagraph (i) above, its name, description, image, registration number, port of registry and, if different from the port of registry, the name of the port as marked on the hull, international radio call sign and particulars of any other communication capabilities.

b) notify the Executive Secretary of any changes to the information it has provided pursuant to subparagraph (a) above as soon as possible and, in all cases, before a new inspection vessel, or national authority participates in the Scheme;

c) ensure that each inspection vessel it authorizes to participate in the Scheme is clearly marked and identifiable as being on government service, and displays the ICCAT inspection flag or pennant depicted in **Appendix 1**;

d) ensure that the inspectors of any inspection vessel authorized and assigned to participate in the Scheme have the authority to inspect the vessel, its license, gear, equipment, records, facilities, fish and fish products and any relevant documents necessary to verify compliance with the recommendations in force pursuant to the Convention; and

e) ensure that any inspector it authorizes to participate in the Scheme remains under its operational control, is fully familiar with the fishing activities being inspected and has been issued the credentials notified pursuant to this paragraph.

Exchange of Inspectors

15. Consistent with Resolution 19-17 Amending Resolution 18-11 Establishing a Program for the Voluntary Exchange of Inspection Personnel in Fisheries Management by ICCAT, CPCs are encouraged to enter into standing or *ad hoc* arrangements to allow for an inspector, authorized by a CPC, to be deployed on inspection vessels of another CPC to facilitate communication and coordination for the purpose of implementing the Scheme.

a) Such arrangements should establish a process for the timely identification of the authorized inspection vessels involved and include provisions for the cooperative deployment of personnel and the use of vessels, aircraft or other equipment for fisheries surveillance and law enforcement purposes.

b) In addition to the notification requirements of paragraph 14, the CPCs involved shall notify the Executive Secretary of any arrangement reached under this paragraph.

c) CPCs deploying inspection vessels should, subject to having an agreement as outlined in this paragraph, embark authorized inspectors from another CPC if available. Foreign inspectors may participate in all inspections conducted by the inspection vessel under this Scheme as inspectors or as observing members of the inspection party, as agreed upon by the two CPCs prior to deployment.

Duties of the Executive Secretary

16. The Executive Secretary shall,

a) establish, maintain and post to the secure part of the ICCAT website accessible to all CPCs:

i) a register, including the information notified by the CPCs under subparagraph 14.a; and

ii) information on the arrangements referred to in paragraph 15.

b) issue the ICCAT inspection flag or pennant depicted in **Appendix 1** of this Recommendation to CPCs deploying inspection vessels pursuant to the Scheme.

Section IV: Inspections

Transparency and equitable treatment

17. Inspection shall be conducted in a transparent, non-discriminatory manner taking into account, *inter alia*, vessel fishing patterns and compliance records, the presence of observers, the frequency and results of prior inspections, and the full range of measures available to monitor compliance with ICCAT Recommendations.

Priorities for inspections

18. The inspecting CPC should give priority to inspecting a fishing vessel:

- a) entitled to fly the flag of a CPC that is eligible for inclusion in the ICCAT Record of Fishing Vessels, but is not included;
- b) where there are reasonable grounds to suspect the fishing vessel is, or has been, engaged in IUU fishing or in any activity in contravention of the ICCAT Convention or Recommendations;
- c) included in the list of vessels that have engaged in IUU fishing adopted by a regional or sub-regional fisheries management organization; or
- d) pursuant to a request by a CPC or a regional or sub-regional fisheries management organization supported by evidence of IUU fishing by the vessel in question.

Optimal use of inspection resources

19. CPCs shall direct their inspection vessels to seek to establish regular contact with other inspection vessels operating in the same area for the purpose of sharing information on sightings, inspections and other operational elements relevant to their activities under the Scheme.

Non-CPC Fishing Vessels and Vessels of Undetermined Flag

20. In accordance with the notification requirements of paragraph 2(b) of Recommendation 19-09 on Vessel Sightings and taking into account Recommendation 21-XX on Vessels Without Nationality [currently proposed as PWG-408], an inspecting CPC that sights a fishing vessel without nationality or of indeterminate flag, engaged in fishing activities in the Convention area, shall report the sighting to the Executive Secretary, who shall forward the reports to all CPCs. Where there are reasonable grounds for suspecting that such a fishing vessel is targeting ICCAT species and is stateless, the inspecting CPC may take such action as may be appropriate in accordance with international law and relevant ICCAT Recommendations.

21. In accordance with paragraph 2(b) of Recommendation 19-09 on Vessel Sightings, an inspection vessel that sights a non-CPC vessel that may be fishing contrary to ICCAT conservation and management measures shall immediately report such sighting to the authorities of the inspecting CPC who shall notify the flag State of the fishing vessel and the Executive Secretary of such sighting.

22. The inspection vessel shall, if possible, advise the Master of the sighted vessel that they are operating within the ICCAT Convention area and may be fishing contrary to conservation and management measures adopted by ICCAT. Where practicable, the inspecting CPC shall request permission from the flag State of the fishing vessel to board and inspect the fishing vessel. A report of the encounter and of any ensuing inspection shall be transmitted to the flag State of the fishing vessel and to the Executive Secretary.

Duties of the Executive Secretary

23. The Executive Secretary shall,

- a) upon receipt, immediately distribute to the CPCs the reports received pursuant to paragraphs 20, 21, and 22; and
- b) compile, maintain, and post to the secure part of the ICCAT website a list of vessels reported pursuant to paragraphs 20 and 21 and encounters and inspections reported pursuant to paragraph 22.

Section V: Boarding and inspection procedures

Conduct of inspections

24. An inspection vessel that intends to undertake boarding and inspection of a fishing vessel entitled to fly the flag of a CPC pursuant to the Scheme shall:

- a) seek to establish contact with the fishing vessel by radio, using the appropriate International Code of Signals or other internationally accepted means of alerting the vessel;
- b) identify itself as an inspection vessel by communicating its name, registration number, international radio call sign and frequency;
- c) advise the vessel of its intention to board and inspect the vessel pursuant to the Scheme;
- d) initiate notice through its authorities to the flag CPC point of contact of the fishing vessel; and
- e) display the ICCAT inspection flag or pennant depicted in **Appendix 1** in a clearly visible fashion.

25. The inspection vessel and the inspectors shall make best efforts to communicate with the Master of the fishing vessel in a language that the Master can understand.

26. The number of inspectors assigned to an inspection party by the inspecting CPC shall be determined by the commanding officer of the inspection vessel taking into account relevant circumstances. The inspection party should be as small as possible to conduct an effective inspection safely and securely.

27. Boarding and inspection shall be conducted:

- a) in accordance with generally accepted international standards, regulations, procedures and practices relating to the safety of the fishing vessel and its crew; and
- b) to the extent possible, in a manner that avoids:
 - i) undue interference with the lawful activity of the fishing vessel;
 - ii) actions that would adversely affect the quality of the catch; and
 - iii) harassment of the fishing vessel, its officers or crew.

28. In conducting an inspection pursuant to this Scheme, the inspectors shall:

- a) upon boarding, present their credentials, in accordance with paragraph 14 (a) (iii), to the Master;
- b) avoid interfering with the Master's ability to communicate with the flag CPC of the fishing vessel;

- c) inspect and record such images of the fishing vessel's license, gear, equipment, facilities, fish and fish products on board, and logbooks, records and documents as may be necessary to verify compliance with, or establish any suspected infringements of, the ICCAT Convention or Recommendations, including relevant information provided by the Observer – if present;
 - d) collect, and clearly document in the inspection report, any evidence of a suspected infringement of the ICCAT Convention or Recommendations;
 - e) record the inspection and any suspected infringement in the fishing vessel's logbook or, where the vessel's logbook is electronic, provide a written record of the inspection and any suspected infringement;
 - f) provide the Master with a copy of the inspection report including any objection or statement which the master wishes to include in the report;
 - g) complete the inspection within four 4 hours unless evidence of a serious infringement is found, or where a longer time period is required to monitor ongoing fishing operations and obtain related documentation issued by the Master; and
 - h) except where they have reasonable grounds to believe that the fishing vessel has committed a serious infringement and other action is authorized pursuant to paragraph 41, promptly leave the vessel following completion of the inspection.
29. Where the inspectors have reasonable grounds to believe that the fishing vessel has committed an infringement of the ICCAT Convention or Recommendations, they shall seek to so advise, without delay, any inspection vessel of the flag CPC of the fishing vessel that may be present in the vicinity.

Use of force

30. The use of force shall be avoided except when and to the degree necessary to ensure the safety of the inspectors and where the inspectors are obstructed in the execution of their duties. The degree of force used shall not exceed that reasonably required in the circumstances.
31. The inspectors shall promptly report any incident involving the use of force to their national authorities responsible for at-sea inspection, who shall advise the contact point of the flag CPC of the fishing vessel, and to the Executive Secretary.

Duties of the Master of the fishing vessel

32. Each CPC shall require that the Master of every fishing vessel entitled to fly its flag:
- a) when signaled by an inspection vessel displaying the ICCAT flag or pennant, using the International Code of Signals, accepts and, to the extent compatible with good seamanship, facilitates boarding by the inspectors, unless the vessel is directly engaged in fishing activities, in which case the Master shall manoeuvre to safely facilitate boarding as soon as possible;
 - b) provides a standardized boarding ladder that meets the requirements of IMO Resolution A.889(21) and ensures safety measures are in place to prevent and respond as required to an accident during boarding;
 - c) cooperates with and assists in the inspection;
 - d) facilitates the inspection of, and provides access to such equipment, catch, gear and documents as the inspectors may consider necessary to verify compliance with the ICCAT Convention or Recommendations;
 - e) ensures that the crew avoids interfering with, or obstructing the inspectors in the performance of their duties;

- f) facilitates the taking of samples of processed fish by inspectors, for the purpose of species identification through DNA analysis;
- g) makes available the use of the vessel's communication equipment and operator, to the extent required by the inspectors;
- h) facilitates communication by the inspectors with the crew and the flag CPC of the inspection vessel;
- i) provides the inspectors with reasonable facilities, including, where appropriate, food and accommodation;
- j) takes such action as may be necessary to preserve the integrity of any seal affixed by an inspector and of any evidence remaining on board;
- k) where the inspectors have made an entry in the logbooks, provides the inspectors with a copy of each page where such entry appears and, at the request of the inspector, signs each page to confirm that it is a true copy;
- l) refrains from resuming fishing activity until the inspectors have completed the inspection and, in the case of a serious infringement, secured the evidence; and
- m) facilitates the safe disembarkation of the inspectors.

Refusal of boarding and inspection

33. Where the Master of a fishing vessel refuses to allow boarding and inspection pursuant to this Scheme, the inspecting CPC shall immediately so advise the point of contact of the flag CPC of the fishing vessel and the Executive Secretary.
34. Upon receiving notification under paragraph 33, the flag CPC of the fishing vessel shall:
- a) except where generally accepted international regulations, procedures or practices relating to safety at sea make it necessary to delay the inspection, direct the Master to accept the inspection forthwith; and
 - b) where the Master does not comply with such direction:
 - i) order the Master to justify the refusal;
 - ii) where appropriate, take action in accordance with subparagraphs 42 (a) and (b); and
 - iii) promptly notify the Executive Secretary and the inspecting CPC of the action it has taken.

Section VI: Inspection report and follow-up

Inspection reports

35. Each CPC shall require that its inspectors:
- a) upon completion of an inspection, complete an inspection report in the form set out in **Appendix 2**;
 - b) sign the inspection report in the presence of the Master, who shall be given the opportunity to add or have added to the report any observations;
 - c) request the Master to sign the report only as an acknowledgement of receipt; and

d) before disembarking, provide a copy of the report to the Master, duly noting any refusal by the Master to acknowledge receipt.

Transmission and dissemination of inspection reports

36. Upon completion of the inspection, the inspecting CPC shall transmit the inspection report within 30 days, or sooner if possible, to the point of contact of the flag CPC of the fishing vessel and to the Executive Secretary.

37. Notwithstanding paragraph 36, where inspectors have noted a serious infringement in the inspection report, the inspecting CPC shall transmit, within 48 hours, a copy of the inspection report and all supporting documents, images or audio recordings, to the point of contact of the flag CPC of the fishing vessel and to the Executive Secretary.

Duties of the Executive Secretary

38. The Executive Secretary shall, without delay, post the inspection report to the secure part of the ICCAT website.

Section VII: Procedures relating to serious infringements

Serious infringements

39. Each of the following constitutes a serious infringement:

- a) fishing without a valid license, permit or authorization;
- b) significant failure to maintain accurate records of catch or catch-related data in contravention of the ICCAT Convention or Recommendations, or significant misreporting of catch or catch-related data;
- c) fishing in a closed area;
- d) fishing during a closed season;
- e) intentional taking or retention of species in contravention of ICCAT Recommendations;
- f) significantly exceeding applicable catch limits or quotas;
- g) intentional removal of fins and discarding of shark carcasses at sea in contravention of ICCAT Rec. 04-10;
- h) using prohibited fishing gear;
- i) falsifying or intentionally concealing the markings, identity or registration of a fishing vessel or its gear, or failing to mark fishing gear;
- j) concealing, tampering with or disposing of evidence related to an inspection or investigation of an infringement, including the breaking or tampering of marks or seals, or accessing sealed areas;
- k) committing multiple infringements which, taken together, constitute a serious disregard of the ICCAT Convention or Recommendations;
- l) assaulting, resisting, intimidating, harassing, interfering with, obstructing or unduly delaying inspectors or observers in the performance of their duties;
- m) tampering with, disabling, or interfering with the vessel monitoring system (VMS) of the fishing vessel where VMS is required by ICCAT Recommendations;

- n) operating a fishing vessel without VMS in contravention of ICCAT Recommendations;
- o) presenting falsified documents or providing false information to an inspector so as to prevent a serious infringement from being detected;
- p) fishing with the assistance of spotter planes in contravention of ICCAT Recommendations;
- q) failure for the master of a vessel flagged to a CPC to submit to an inspection;
- r) transshipping at sea in contravention of ICCAT Recommendations;
- s) operating a fishing vessel without an observer in contravention of ICCAT Recommendations;
and
- t) such other violations identified as a serious infringement in future ICCAT Recommendations.

Duties of the Inspectors

40. Each CPC shall require that, where its inspectors have noted a serious infringement in the inspection report, they:
- a) immediately notify their national authority responsible for at-sea inspection of all relevant particulars;
 - b) take all such measures as may be required to ensure the security and continuity of the evidence, including, as appropriate, marking or sealing the vessel's hold or gear for further investigation; and
 - c) where feasible, advise any inspection vessel of the flag CPC of the fishing vessel they know to be in the vicinity of the serious infringement and of the action they have taken.

Duties of the inspecting CPC

41. Where notified by its inspectors of a serious infringement, the inspecting CPC shall immediately transmit written notification of the serious infringement and a description of the supporting evidence to the point of contact of the flag CPC of the fishing vessel and to the Executive Secretary.

Duties of the Flag CPC of the fishing vessel

42. Upon receiving notification pursuant to paragraph 41, the flag CPC of the fishing vessel shall:
- a) acknowledge receipt of the notification without delay;
 - b) require that the fishing vessel concerned:
 - i) ceases all fishing activity until it is satisfied that the infringement will not continue or be repeated and has so notified the Master;
 - ii) where appropriate to the conduct of a full and thorough investigation, to proceed immediately to a port or other location it designates for investigation under its authority; and
 - iii) report to the Executive Secretary the measures it has taken pursuant to its laws in relation to the infringement.
43. The flag CPC of the fishing vessel may authorize the inspecting CPC to take such enforcement action as it may specify with respect to the vessel. It may also authorize an inspector from another CPC to board or remain on board the vessel as it proceeds to port and to participate in the port inspection.

Failure of the flag CPC to respond

44. Where the flag CPC of the fishing vessel fails to take action as required pursuant to paragraph 42, the inspectors shall immediately so advise their national authority responsible for at-sea inspection and record the failure in the inspection report.
45. The inspecting CPC shall notify the Executive Secretary of the flag CPC's failure to respond.
46. The flag CPC shall, without delay, provide to the Executive Secretary a written explanation of its failure to respond.

Duties of the Executive Secretary

47. The Executive Secretary shall,
- a) upon receipt, post any notifications received pursuant to paragraphs 41 or 44, and any explanation received pursuant to paragraph 44, to the secure part of the ICCAT website;
 - b) transmit, upon receipt, the justification received pursuant to paragraph 46 to the inspecting CPC; and
 - c) maintain a record of actions reported by the flag CPC pursuant to paragraph 42, post such record to the secure part of the ICCAT website, and refer the information to the Commission for its consideration.

Section VIII: Follow-up enforcement action

Cooperation

48. CPCs shall cooperate to facilitate judicial or other proceedings initiated as follow-up to a report submitted by an inspector pursuant to the Scheme.

National treatment

49. Each CPC shall:
- a) without prejudice to their national legislation, treat interference by its fishing vessels, their Masters or crew with an inspector or an inspection vessel of another CPC in the same manner as interference with its own inspectors within areas under its national jurisdiction; and
 - b) accord treatment to reports of inspections conducted by inspectors of another CPC consistent with that accorded to reports of their own inspectors.

Duties of the flag CPC of the fishing vessel

50. A CPC that has been notified of an infringement committed by a fishing vessel entitled to fly its flag shall:
- a) investigate immediately and fully, including as appropriate, by physically inspecting the fishing vessel at the earliest opportunity or, authorize the inspecting CPC to take enforcement action as appropriate under the circumstances;
 - b) cooperate with the inspecting CPC to preserve the evidence in a form that will facilitate proceedings in accordance with its laws;
 - c) if the evidence so warrants, take judicial or administrative action, as appropriate; and

d) ensure that any sanctions applied are adequate in severity to be effective in securing compliance, deterring further infringements and, to the extent possible, depriving the offenders of the benefits accruing from the infringement, including, *inter alia*:

- i) fines;
- ii) seizure of the fishing vessel, illegal fishing gear and/or catches;
- iii) suspension or withdrawal of authorization to fish; and
- iv) reduction or cancellation of any fishing allocations.

e) notify the Executive Secretary of the measures taken pursuant to this paragraph as soon as possible.

Section IX: Annual compliance report

Reports by the CPCs

51. Each CPC shall for the period ending on September 30 of that year, include in its annual report to the Commission, a summary of:

- a) the boarding and inspection activities it has conducted pursuant to the Scheme;
- b) the action it has taken in response to reported infringements by its fishing vessels, including any enforcement procedures and the sanctions it may have applied; and
- c) an explanation regarding every reported infringement concerning which it has taken no action.

Report of the Executive Secretary

52. The Executive Secretary shall submit to the ICCAT Commission before each annual meeting a report setting out a description of:

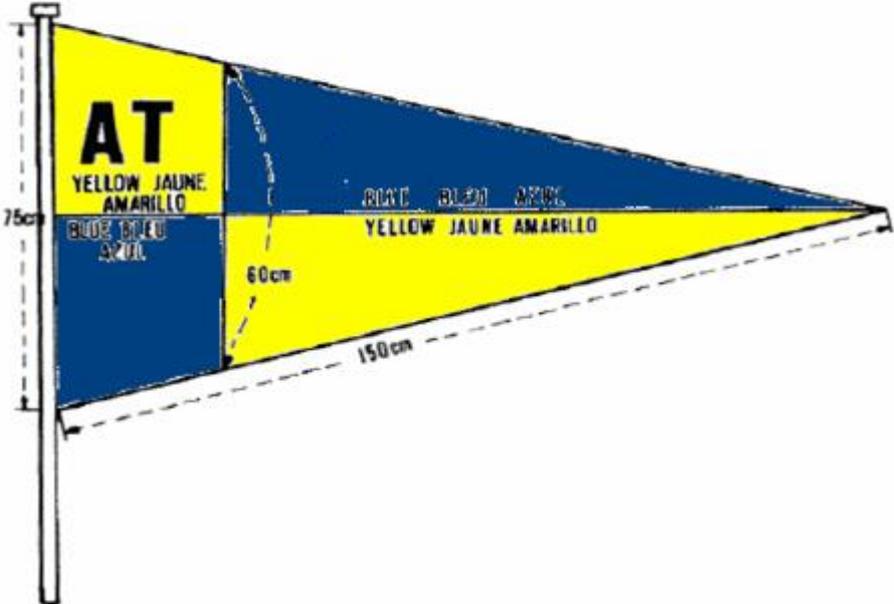
- a) the boarding and inspection activities and follow-up actions taken, as reported by each CPC, for the period ending September 30;
- b) the instances where boarding and inspection was refused by a fishing vessel of a CPC, and any follow-up action taken by that CPC in respect of such fishing vessel; and
- c) the cases where force was used including the reported circumstances thereof.

Section X: Review

53. This Scheme should be reviewed by the Working Group on Integrated Monitoring Measures (IMM), to identify areas for improvement, no more than 3 years after adoption and at regular intervals thereafter.

ICCAT Inspection Flag or Pennant

ICCAT Pennant



ICCAT Boarding and Inspection Report Form

1. Inspection report No.		2. Inspection Vessel			
3. Inspecting authority					
4. Name of principal inspector				ID	
5. Location of inspection (as determined by inspecting vessel)		Lat.		Long.	
6. Location of inspection (as determined by fishing vessel)		Lat.		Long.	
7. Commencement of inspection		YYYY	MM	DD	HH
8. Completion of inspection		YYYY	MM	DD	HH
9. Last port and date of last port call				YYYY	MM DD
10. Vessel name					
11. Flag State					
12. Type of vessel					
13. International Radio Call Sign					
14. Certificate of registry ID					
15. IMO ship ID, if available					
16. External ID, if available					
17. Port of registry					
18. Vessel owner(s) and address					
19. Vessel beneficial owner(s), (if known and different from vessel owner) and address					
20. Vessel operator(s), if different from vessel owner					
21. Vessel master name and nationality					
22. Fishing master name and nationality					
23. Vessel agent					
24. VMS		Type:			
25. Status in ICCAT and other RFMOs, including any IUU vessel listing					
Vessel identifier	RFMO	Flag State status	Vessel on authorized vessel list	Vessel on IUU vessel list	

26. Relevant fishing authorization(s)					
<i>Identifier</i>	<i>Issued by</i>	<i>Validity</i>	<i>Fishing area(s)</i>	<i>Species</i>	
27. Catch retained onboard (quantity)					
<i>Species</i>	<i>Product form</i>	<i>Catch area(s)</i>	<i>Quantity declared</i>	<i>Quantity retained (based on inspection)</i>	
28. Examination of logbook(s) and other documentation			<i>Yes</i>	<i>No</i>	<i>Comments</i>
29. Compliance with applicable catch documentation scheme(s)			<i>Yes</i>	<i>No</i>	<i>Comments</i>
30. Compliance with applicable statistical document scheme(s)			<i>Yes</i>	<i>No</i>	<i>Comments</i>
31. Type of gear used					
32. Gear examined		<i>Yes</i>	<i>No</i>	<i>Comments</i>	
33. Findings by inspector(s)					

34. Apparent infringement(s) noted including reference to relevant legal instrument(s)
35. Comments by the Master
36. Action taken
37. Master's signature*
38. Inspector's signature

* The Master's signature serves only as acknowledgment of receipt of a copy of the inspection report.