November 8, 2019 (9:49 AM)

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## DRAFT MEMORANDUM OF UNDERSTANDING BETWEEN THE ICCAT AND FAO ON BEHALF OF THE GFCM

Circular #3367 dated 24 May 2019

The International Commission for the Conservation of Atlantic Tunas (ICCAT) and, the Food and Agriculture Organization of the United Nations (FAO), acting on behalf of the General Fisheries Commission for the Mediterranean (GFCM). Both of the above mentioned are hereinafter collectively referred to as "Participants" or individually as "Participant".

WHEREAS ICCAT is a regional fisheries management organization established pursuant to the International Convention for the Conservation of Atlantic Tunas (Convention), which entered into force in 1969, the objective of which is to co-operate in maintaining the populations of tuna and tuna-like fishes found in the Atlantic Ocean and adjacent seas at levels which will permit the maximum sustainable catch for food and other purposes,

**WHEREAS** the GFCM is a Regional Fisheries Management Organization established in 1949 under Article XIV of the FAO Constitution with the aim, *inter alia*, of promoting the development, conservation, rational management and best utilization of living marine resources and of favouring the sustainable development of aquaculture and has a mandate over the Mediterranean Sea and the Black Sea.

**WHEREAS** the <u>Participants</u> share common goals with regard to the sustainable use of marine living resources and the fight against illegal, unreported and unregulated (IUU) fishing and wish to collaborate to further these common goals within their respective mandates and governing rules and regulations,

**CONVINCED** of the importance of countering existing threats to marine biodiversity and marine living resources with a view to promote sustainable development and blue growth;

**AWARE** of the need to improve synergies and avoid duplications in the context of the development of projects and programs focusing on main regional priorities in order to foster governance and promote commonalities;

**WHEREAS** the <u>Participants</u> intend to <u>establish</u> this Memorandum of Understanding (hereafter referred to as "MoU") with the aim to establish a broader cooperation aimed at harmonizing their activities and to <u>facilitate common approaches to their objectives</u>;

## NOW THEREFORE THE PARTICIPANTS INTEND TO COOPERATE UNDER THIS MOU AS FOLLOWS:

[...]

## <u>1</u>

#### **Purpose**

Having regard to the respective mandates of the <u>Participants</u>, this MoU intends to provide a framework of cooperation and understanding and to facilitate collaboration between the <u>Participants</u> to further their shared goals in relation to the <u>conservation and</u> sustainable use of marine living resources and the fight against IUU fishing in their respective fields of competence.

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## Areas and scope of cooperation

- 1. <u>In order to respond to emerging issues in the realm of conservation and sustainable use of marine living resources, the areas of cooperation for the purposes of this MoU may include:</u>
  - Revitalization of the joint ICCAT/GFCM Working Group on Stocks of Large Pelagic Fishes in the Mediterranean Sea to foster exchange of relevant information and data <u>collection</u>, including on the interactions between tuna and swordfish and other species which may be of relevance to both organisations;

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- b) Exchange of relevant information on IUU fishing activities carried out in their respective Convention areas;
- c) Enhanced communication at the level of the respective scientific and technical bodies, including the compliance committees;
- d) Mutual participation in research and capacity building projects;
- e) <u>Coordinating engagement</u> on the role of regional fisheries management organizations in relevant international fora (e.g. ABNJ process, CBD SOI dialogue, etc.).
- 2. The areas of cooperation are relevant to the respective mandates of the <u>Participants</u>. As appropriate, they may be revised based on, and consistent with, decisions of the respective governing bodies of the <u>Participants</u> that might have a bearing on their respective mandates.
- 3. The Participants intend to work together, within the remit of their respective mandates, to develop a program of activities identified pursuant to this MoU. The agreed program of activities between the two Participants may be submitted to the respective scientific sub-committees for approval. Specific activities may be identified and carried out consistent with paragraph 3(7) of this MoU, as appropriate. The Participants intend to meet for coordination and review of the joint activities to be developed as described therein, as deemed necessary, and may decide, in such occasions, to prepare a joint work plan, subject to approval by the Participants that would enter into effect upon approval by the Participants of the records of their bilateral consultations (paragraph 3(1)).
- 4. This MoU <u>intends</u> to further harmoni<u>ze</u> activities of the <u>Participants</u>, <u>to</u> optimize the use of resources, and to avoid <u>duplication</u> of efforts. In this context, <u>the Participants intend to</u> inform each other of their respective capacity development related <u>initiatives</u> to strengthen cooperation.

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#### Organizational arrangements pertaining to the cooperation

- 1. The <u>Participants</u> may hold bilateral consultations on matters of mutual interest, in accordance with an agenda <u>determined</u> in advance by them, aiming also at the development or review of their joint activities. Subject to the applicable internal rules and procedures of each <u>Participant</u> and to prior consultation among the <u>Participants</u>, relevant international organizations and relevant initiatives or projects may be invited by both <u>Participants</u> to join such consultations. The following two items <u>may</u> be examined on occasion of consultations:
  - a) technical and operational issues related to furthering the objectives of this MoU;
  - b) review of progress in the work by the <u>Participants</u> in implementing <u>this</u> MoU.
- Further bilateral meetings at desk-to-desk and expert levels <u>may</u> be convened on an *ad hoc* basis, as
  deemed necessary by the <u>Participants</u> to address priority matters regarding the implementation of
  activities in specific areas, countries and regions.
- 3. Where the <u>Participants</u> convene a meeting at which <u>management and conservation policy matters</u> related to this MoU <u>are intended to</u> be discussed, the <u>Participants intend</u>, as appropriate, to invite each other.
- 4. The <u>Participants should</u> encourage, and where possible promote, contacts, exchange of information and joint activities at the national level between their respective focal points. The <u>Participants</u> may subsequently develop these contacts, exchange of information and joint activities while ensuring the confidentiality of the information and documents exchanged.
- 5. Within the remit of areas of cooperation set in <u>paragraph 2(1)</u>, collaboration <u>between the Participants may</u> be carried out, as appropriate, through joint development, fundraising for and implementation of projects on specific issues of common interest.

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- 6. Neither <u>Participant intends to</u> engage in fundraising with third parties for activities to be carried out within the framework of this MoU in the name of or on behalf of the other.
- 7. Nothing under this MoU imposes financial obligations upon either <u>Participant</u>. If the <u>Participants</u> mutually <u>decide</u> to allocate specific funds to facilitate an activity <u>conducted</u> pursuant to this MoU, <u>the Participants may reach</u> an <u>understanding</u> in writing <u>to be</u> signed by <u>them</u>. In particular, for the implementation of joint activities within the framework of this MoU <u>that</u> might involve payment of funds, a specific separate <u>written</u> arrangement <u>may</u> be <u>established</u>, as appropriate, taking into account the relevant administrative and financial rules and procedures applicable to each Participants.
- 8. The <u>Participants intend</u>, within their global knowledge network and to the extent possible, to facilitate mutual access to relevant information and work as well as dissemination between the <u>Participants</u>. The <u>Participants intend to</u> consider the possibility of joint missions and the hosting of joint training activities, events and information sessions.
- 9. <u>The Participants intend to identify, as appropriate, focal points within their internal organizational structure to coordinate cooperation under this MoU.</u>

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#### Status of personnel

For the purpose of implementation of this MoU, no agents, sub-contractors or employees of one of the <u>Participants are intended to</u> be considered in any way as agents, sub-contractors or employees of the other <u>Participant</u>. Neither <u>Participant is intended to</u> be liable for the acts or omissions of the other <u>Participant</u> or its agents, sub-contractors, employees or any persons performing services on behalf of it.

## <u>5</u> Transparency and Confidentiality

Notwithstanding the foregoing, neither <u>Participant</u> nor its personnel <u>intend to</u> communicate to any other person or entity any confidential information made known to it by the other <u>Participant</u> in the course of the implementation of this MoU, nor <u>use such information for private or company advantage</u>.

[...]

<u>6</u>

## **Dispute settlement**

The Participants intend to resolve any disputes regarding the interpretation or application of this MOU by consultations and not by referral to any national or international tribunal or third party for settlement.

[...]

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### Official emblems and logos

- 1. Neither <u>Participant intends to</u> use the name, emblem or logos of the other <u>Participant</u>, its subsidiaries, affiliat<u>es</u>, or authorized agents, or any abbreviation thereof, any press release, memo, report or other published disclosure related to this MoU, without the prior written approval of the other <u>Participant</u>, which may be provided electronically.
- 2. In no event <u>should</u> authorization of ICCAT or the GFCM name or emblem, or any abbreviation thereof, be granted for commercial purposes.

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# <u>8</u> Intellectual property rights

- 1. Intellectual property rights, in particular copyright, in material such as information, software and designs, made available by ICCAT and FAO to be used to carry out activities under this MoU <u>is intended to remain</u> with the originating <u>Participant</u>. Appropriate authorizations for use <u>of such materials by the other Participant may be addressed in <u>arrangements decided by the Participants established in accordance with <u>paragraph</u> 3(7).</u></u>
- 2. Intellectual Property Rights in materials that may be developed under this MoU such as, but not limited to, information, software and designs, <u>may</u> be addressed in the <u>arrangements established</u> in accordance <u>with paragraph 7(1)</u>.

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## Notification and modifications

- 1. Each <u>Participant intends to</u> notify the other in writing of any proposed or actual changes that it deems necessary for this MoU.
- 2. Upon receipt of such notification, the <u>Participants intend to</u> consult each other with a view of reaching <u>a decision</u> on any actual or proposed change(s) suggested in accordance with <u>paragraph 9(1)</u>.
- 3. This MoU is intended to be modified only by mutual consent of the Participants reflected in writing.

## 10 Discontinuation

- 1. This MoU may be <u>discontinued</u> by either <u>Participant</u> by giving 3 months prior written notice to the other <u>Participant</u>.
- 2. Upon <u>discontinuation</u> of this MoU, the <u>commitments</u> of the <u>Participants</u> defined under any specific arrangement established in accordance with <u>paragraph 3(7) are also intended to be discontinued</u>, unless <u>the Participants decide</u> otherwise. The <u>commitments</u> set out in <u>paragraphs 5</u>, 6, 7, <u>and 8</u> of this MoU <u>are intended to</u> survive the expiration or <u>discontinuation</u> of this MoU.

## 11 Commencement and Duration

This MoU <u>takes effect</u> on the date of signature by both <u>Participants and is intended to remain in effect for a period of four (4) years or until <u>discontinued</u> in accordance with <u>paragraph 10</u>. <u>This MoU may be</u> renewed for successive similar periods by written <u>consent</u> of the <u>Participants</u>, based upon successful past implementation and its content is intended to be reviewed by the Participants every 2 years, as appropriate.</u>

**IN WITNESS WHEREOF,** the duly authorized representatives of the <u>Participants</u> affix their signatures below.

| For ICCAT                         | For FAO, on behalf of the GFCM   |
|-----------------------------------|----------------------------------|
|                                   |                                  |
| Title: Executive Secretary, ICCAT | Title: Executive Secretary, GFCM |
| Date:                             | Date:                            |