

Original: English

Appendix 1

HISTORY OF ACTIONS TAKEN BY THE COMMISSION FOLLOWING REVIEW BY THE COMPLIANCE COMMITTEE SINCE 2009

In 2009, the Compliance Committee began a review by individual CPCs, rather than by theme as previously. The table below is a summary of the historic actions taken to date.

<i>Contracting Party</i>	<i>2009</i>	<i>2010</i>	<i>2011</i>	<i>2012</i>	<i>2013</i>	<i>2014</i>	<i>2015</i>	<i>2016</i>	<i>2017</i>	<i>2018</i>	<i>Observations</i>
ALBANIA	concern	concern	ID	lift + concern	ID	lift + concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
ALGERIA	ID	ID	lift + concern	no action	no action	no action	no action	no action	no action	Compliance letter	
ANGOLA	ID	ID	ID	ID	ID	lift + concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
BARBADOS	ID	lift + concern	no action	concern	no action	no action	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
BELIZE	concern	concern	concern	concern	no action	concern	Compliance letter	no action	no action	Compliance letter	<i>Sanctioned 1996-2002</i>
BRAZIL	ID	lift + concern	concern	no action	no action	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
CABO VERDE		ID	lift + concern	concern	concern	concern	no action	no action	Compliance letter	Compliance letter	
CANADA	no action	concern	concern	concern	no action	no action	no action	no action	no action	no action	
CHINA (P.R.)	ID	lift + concern	concern	no action	no action	no action	no action	no action	Compliance letter	Compliance letter	
CÔTE D'IVOIRE	ID	ID	lift + concern	concern	concern	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
CURAÇAO	coop renew	coop renew	coop renew	coop renew	coop renew	no action	no action	Compliance letter	Compliance letter	Compliance letter	
EGYPT	ID	lift + concern	concern	concern	no action	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
EL SALVADOR	xx	xx	coop denied	coop granted	coop renew	concern	no action	Compliance letter	Compliance letter	Compliance letter	
EUROPEAN UNION	ID	ID	lift + concern	concern	concern	no action	no action	Compliance letter	no action	Compliance letter	
FRANCE (SPM)	ID	lift + concern	concern	no action	no action	no action	no action	no action	no action	Compliance letter	
GABON	ID	ID	lift + concern	concern	concern	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
GHANA	ID	ID	lift + concern	concern	concern	no action	no action	no action	Compliance letter	Compliance letter	
GRENADA*						Letter requesting	Letter requesting	ID	Lift identification	Compliance letter	

<i>Contracting Party</i>	<i>2009</i>	<i>2010</i>	<i>2011</i>	<i>2012</i>	<i>2013</i>	<i>2014</i>	<i>2015</i>	<i>2016</i>	<i>2017</i>	<i>2018</i>	<i>Observations</i>
						statistics data	statistics data				
GUATEMALA	ID	ID	lift + concern	no action	no action	no action	no action	Compliance letter	no action	Compliance letter	
GUINEA BISSAU	xx	xx	xx	xx	xx	xx	xx	xx	Compliance letter	Compliance letter	
GUINEA EQUATORIAL	concern	concern	concern	concern	concern	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	<i>Sanctioned 1999-2003</i>
GUINEA (REP. OF)	ID	ID	ID	lift + concern	concern	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
HONDURAS*	ID	ID	lift + concern	ID	ID	lift + concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	<i>Sanctioned 1996-2002</i>
ICELAND	no action	no action	no action	no action	no action	no action	no action	no action	no action	no action	
JAPAN	ID	lift + concern	concern	no action	concern	concern	no action	no action	no action	Compliance letter	
KOREA (REP. OF)	ID	ID	lift + concern	concern	no action	no action	no action	no action	no action	no action	
LIBERIA	xx	xx	xx	xx	xx	no action	Compliance letter	ID	Compliance letter	Compliance letter	
LIBYA	ID	ID	lift + concern	no action	no action	no action	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
MOROCCO	ID	lift + concern	no action	no action	no action	no action	no action	no action	no action	Compliance letter	
MAURITANIA	concern	concern	concern	concern	concern	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
MEXICO	ID	lift + concern	concern	concern	concern	no action	no action	no action	Compliance letter	Compliance letter	
NAMIBIA	ID	lift + concern	no action	no action	no action	no action	no action	no action	Compliance letter	Compliance letter	
NICARAGUA	ID	ID	ID	ID	lift + concern	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
NIGERIA	ID	ID	lift + concern	ID	lift + concern	no action	no action	no action	Compliance letter	Compliance letter	
NORWAY	no action	no action	no action	no action	no action	no action	no action	no action	no action	no action	
PANAMA*	ID	ID	ID	lift + concern	concern	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	<i>Sanctioned 1996- 1999</i>
PHILIPPINES	ID	no action (lift id)	no action	no action	no action	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
RUSSIA	ID	lift + concern	no action	concern	no action	no action	no action	no action	Compliance letter	Compliance letter	
SÃO TOMÉ & PRÍNCIPE	ID	ID	lift + concern	concern	concern	concern	Compliance letter	ID	Lift identification + Compliance letter	Compliance letter	

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<i>Contracting Party</i>	<i>2009</i>	<i>2010</i>	<i>2011</i>	<i>2012</i>	<i>2013</i>	<i>2014</i>	<i>2015</i>	<i>2016</i>	<i>2017</i>	<i>2018</i>	<i>Observations</i>
SENEGAL	ID	lift + concern	no action	concern	no action	concern	no action	no action	Compliance letter	Compliance letter	
SIERRA LEONE*	ID	ID	ID	ID	ID Lifted	concern	Compliance letter	ID	ID	Compliance letter	<i>Sanctioned 2002-2004</i>
SOUTH AFRICA	concern	concern	concern	no action	no action	concern	Compliance letter	Compliance letter	no action	Compliance letter	
SVG*	concern	ID	lift + concern	concern	concern	no action	Compliance letter	Compliance letter	Compliance letter	Compliance letter	<i>Sanctioned 2000-2001</i>
SYRIA	concern	concern	ID	ID	lift + concern	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
TRINIDAD & TOBAGO	ID	lift + concern	concern	concern	concern	concern	ID	ID	Lift identification + Compliance letter	Compliance letter	
TUNISIA	ID	ID	no action (lift id)	concern	no action	no action	no action	Compliance letter	Compliance letter	Compliance letter	
TURKEY	ID	ID	no action (lift id)	no action	no action	no action	no action	Compliance letter	no action	Compliance letter	
UNITED KINGDOM (OTs)	ID	lift + concern	concern	concern	no action	no action	no action	Compliance letter	Compliance letter	Compliance letter	
UNITED STATES	concern	concern	concern	no action	no action	no action	no action	no action	no action	no action	
URUGUAY	no action	concern	no action	no action	no action	no action	no action	no action	no action	Compliance letter	
VANUATU	ID	ID	ID	lift + concern	no action	concern	Compliance letter	Compliance letter	Compliance letter	Compliance letter	
VENEZUELA	ID	ID	ID	ID	lift + concern	no action	Compliance letter	Compliance letter	Compliance letter	Compliance letter	

<i>Cooperators</i>	<i>2009</i>	<i>2010</i>	<i>2011</i>	<i>2012</i>	<i>2013</i>	<i>2014</i>	<i>2015</i>	<i>2016</i>	<i>2017</i>	<i>2018</i>	<i>Observations</i>
BOLIVIA	sanction	sanction	sanction lifted + ID	ID lifted	coop granted	coop renew	coop renew and letter requesting improvement in reporting requirements	coop renew but note reporting issues	coop renew	no action	<i>Sanctioned 2002-2011</i>
CHINESE TAIPEI	coop renew	coop renew	coop renew	coop renew	concern	coop renew	coop renew	coop renew but note reporting issues	coop renew	no action	
COSTA RICA								coop granted	coop renew but note reporting issues	Compliance letter	
GUYANA	coop renew	coop renew	coop renew	coop revoked	coop denied	coop re-granted	Coop review and letter on reporting deficiencies	coop renew but note reporting issues	coop renew but note reporting issues	Compliance letter	
SURINAME	xx	xx	coop granted	coop renew	coop renew	coop renew	coop renew	coop renew	coop renew	no action	
<i>NCPs currently with ID</i>											
Dominica						Letter requesting statistics	Letter requesting statistics	ID	ID	ID	
<i>Other NCPs</i>											
Cambodia	ID	ID	ID	ID	ID	ID	ID	ID	Lift ID		
Gibraltar							Letter info autonomous BFT Quota	Letter info autonomous BFT Quota	Letter info autonomous BFT Quota	Letter reiterating request	
Sta. Lucia							Letter requesting statistics	Letter requesting cooperation	Letter requesting cooperation	Letter continued coop	
St. Kitts and Nevis						Letter requesting statistics data	Letter requesting statistics data	Letter requesting cooperation	Letter requesting cooperation	Letter continued coop	

*Panama joined ICCAT in 1999, Honduras in 2001, SVG in 2006, Sierra Leone in 2008 and Grenada in 2017.