

**HISTORY OF ACTIONS TAKEN BY THE COMMISSION FOLLOWING REVIEW BY THE COMPLIANCE COMMITTEE SINCE 2009**

In 2009, the Compliance Committee began a review by individual CPCs, rather than by theme as previously. The table below is a summary of the historic actions taken to date.

| <i>CPC</i>            | <i>2009</i> | <i>2010</i>    | <i>2011</i>    | <i>2012</i>    | <i>2013</i> | <i>2014</i>    | <i>2015</i>       | <i>2016</i>       | <i>2017</i>       | <i>Observations</i>         |
|-----------------------|-------------|----------------|----------------|----------------|-------------|----------------|-------------------|-------------------|-------------------|-----------------------------|
| <b>ALBANIA</b>        | concern     | concern        | ID             | lift + concern | ID          | lift + concern | Compliance letter | Compliance letter | Compliance letter |                             |
| <b>ALGERIA</b>        | ID          | ID             | lift + concern | no action      | no action   | no action      | no action         | no action         | no action         |                             |
| <b>ANGOLA</b>         | ID          | ID             | ID             | ID             | ID          | lift + concern | Compliance letter | Compliance letter | Compliance letter |                             |
| <b>BARBADOS</b>       | ID          | lift + concern | no action      | concern        | no action   | no action      | Compliance letter | Compliance letter | Compliance letter |                             |
| <b>BELIZE</b>         | concern     | concern        | concern        | concern        | no action   | concern        | Compliance letter | no action         | no action         | <i>Sanctioned 1996-2002</i> |
| <b>BRAZIL</b>         | ID          | lift + concern | concern        | no action      | no action   | concern        | Compliance letter | Compliance letter | Compliance letter |                             |
| <b>CABO VERDE</b>     |             | ID             | lift + concern | concern        | concern     | concern        | no action         | no action         | Compliance letter |                             |
| <b>CANADA</b>         | no action   | concern        | concern        | concern        | no action   | no action      | no action         | no action         | no action         |                             |
| <b>CHINA (P.R)</b>    | ID          | lift + concern | concern        | no action      | no action   | no action      | no action         | no action         | Compliance letter |                             |
| <b>CÔTE D'IVOIRE</b>  | ID          | ID             | lift + concern | concern        | concern     | concern        | Compliance letter | Compliance letter | Compliance letter |                             |
| <b>CURAÇAO</b>        | coop renew  | coop renew     | coop renew     | coop renew     | coop renew  | no action      | no action         | Compliance letter | Compliance letter |                             |
| <b>EGYPT</b>          | ID          | lift + concern | concern        | concern        | no action   | concern        | Compliance letter | Compliance letter | Compliance letter |                             |
| <b>EL SALVADOR</b>    | xx          | xx             | coop denied    | coop granted   | coop renew  | concern        | no action         | Compliance letter | Compliance letter |                             |
| <b>EUROPEAN UNION</b> | ID          | ID             | lift + concern | concern        | concern     | no action      | no action         | Compliance letter | no action         |                             |
| <b>FRANCE (SPM)</b>   | ID          | lift + concern | concern        | no action      | no action   | no action      | no action         | no action         | no action         |                             |

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|                      |           |                   |                   |                   |                   |                                      |                                            |                      |                     |                             |
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| GABON                | ID        | ID                | lift +<br>concern | concern           | concern           | concern                              | Compliance<br>letter                       | Compliance<br>letter | Compliance letter   |                             |
| GHANA                | ID        | ID                | lift +<br>concern | concern           | concern           | no action                            | no action                                  | no action            | Compliance letter   |                             |
| GRENADA*             |           |                   |                   |                   |                   | Letter requesting<br>statistics data | Letter<br>requesting<br>statistics<br>data | ID                   | Lift identification |                             |
| GUATEMALA            | ID        | ID                | lift +<br>concern | no action         | no action         | no action                            | no action                                  | Compliance<br>letter | no action           |                             |
| GUINEA BISSAU        | xx        | xx                | xx                | xx                | xx                | xx                                   | xx                                         | xx                   | Compliance letter   |                             |
| GUINEA<br>EQUATORIAL | concern   | concern           | concern           | concern           | concern           | concern                              | Compliance<br>letter                       | Compliance<br>letter | Compliance letter   | <i>Sanctioned 1999-2003</i> |
| GUINEA (REP.<br>OF)  | ID        | ID                | ID                | lift +<br>concern | concern           | concern                              | Compliance<br>letter                       | Compliance<br>letter | Compliance letter   |                             |
| HONDURAS*            | ID        | ID                | lift +<br>concern | ID                | ID                | lift + concern                       | Compliance<br>letter                       | Compliance<br>letter | Compliance letter   | <i>Sanctioned 1996-2002</i> |
| ICELAND              | no action | no action         | no action         | no action         | no action         | no action                            | no action                                  | no action            | no action           |                             |
| JAPAN                | ID        | lift +<br>concern | concern           | no action         | concern           | concern                              | no action                                  | no action            | no action           |                             |
| KOREA (REP.<br>OF)   | ID        | ID                | lift +<br>concern | concern           | no action         | no action                            | no action                                  | no action            | no action           |                             |
| LIBERIA              | xx        | xx                | xx                | xx                | xx                | no action                            | Compliance<br>letter                       | ID                   | Compliance letter   |                             |
| LIBYA                | ID        | ID                | lift +<br>concern | no action         | no action         | no action                            | Compliance<br>letter                       | Compliance<br>letter | Compliance letter   |                             |
| MOROCCO              | ID        | lift +<br>concern | no action         | no action         | no action         | no action                            | no action                                  | no action            | no action           |                             |
| MAURITANIA           | concern   | concern           | concern           | concern           | concern           | concern                              | Compliance<br>letter                       | Compliance<br>letter | Compliance letter   |                             |
| MEXICO               | ID        | lift +<br>concern | concern           | concern           | concern           | no action                            | no action                                  | no action            | Compliance letter   |                             |
| NAMIBIA              | ID        | lift +<br>concern | no action         | no action         | no action         | no action                            | no action                                  | no action            | Compliance letter   |                             |
| NICARAGUA            | ID        | ID                | ID                | ID                | lift +<br>concern | concern                              | Compliance<br>letter                       | Compliance<br>letter | Compliance letter   |                             |
| NIGERIA              | ID        | ID                | lift +<br>concern | ID                | lift +<br>concern | no action                            | no action                                  | no action            | Compliance letter   |                             |
| NORWAY               | no action | no action         | no action         | no action         | no action         | no action                            | no action                                  | no action            | no action           |                             |

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| PANAMA*                 | ID        | ID                     | ID                     | lift +<br>concern | concern           | concern   | Compliance<br>letter | Compliance<br>letter | Compliance letter                          | Sanctioned 1996- 1999              |
| PHILIPPINES             | ID        | no action<br>(lift id) | no action              | no action         | no action         | concern   | Compliance<br>letter | Compliance<br>letter | Compliance letter                          |                                    |
| RUSSIA                  | ID        | lift +<br>concern      | no action              | concern           | no action         | no action | no action            | no action            | Compliance letter                          |                                    |
| SÃO TOMÉ &<br>PRINCIPE  | ID        | ID                     | lift +<br>concern      | concern           | concern           | concern   | Compliance<br>letter | ID                   | Lift identification +<br>Compliance letter |                                    |
| SENEGAL                 | ID        | lift +<br>concern      | no action              | concern           | no action         | concern   | no action            | no action            | Compliance letter                          |                                    |
| SIERRA LEONE*           | ID        | ID                     | ID                     | ID                | ID Lifted         | concern   | Compliance<br>letter | ID                   | ID                                         | Sanctioned 2002-2004               |
| SOUTH AFRICA            | concern   | concern                | concern                | no action         | no action         | concern   | Compliance<br>letter | Compliance<br>letter | no action                                  |                                    |
| SVG*                    | concern   | ID                     | lift +<br>concern      | concern           | concern           | no action | Compliance<br>letter | Compliance<br>letter | Compliance letter                          | Sanctioned 2000-2001               |
| SYRIA                   | concern   | concern                | ID                     | ID                | lift +<br>concern | concern   | Compliance<br>letter | Compliance<br>letter | Compliance letter                          |                                    |
| TRINIDAD &<br>TOBAGO    | ID        | lift +<br>concern      | concern                | concern           | concern           | concern   | ID                   | ID                   | Lift identification +<br>Compliance letter | Continued BUM & WHM<br>overharvest |
| TUNISIA                 | ID        | ID                     | no action<br>(lift id) | concern           | no action         | no action | no action            | Compliance<br>letter | Compliance letter                          |                                    |
| TURKEY                  | ID        | ID                     | no action<br>(lift id) | no action         | no action         | no action | no action            | Compliance<br>letter | no action                                  |                                    |
| UNITED<br>KINGDOM (OTs) | ID        | lift +<br>concern      | concern                | concern           | no action         | no action | no action            | Compliance<br>letter | Compliance letter                          |                                    |
| UNITED STATES           | concern   | concern                | concern                | no action         | no action         | no action | no action            | no action            | no action                                  |                                    |
| URUGUAY                 | no action | concern                | no action              | no action         | no action         | no action | no action            | no action            | no action                                  |                                    |
| VANUATU                 | ID        | ID                     | ID                     | lift +<br>concern | no action         | concern   | Compliance<br>letter | Compliance<br>letter | Compliance letter                          |                                    |
| VENEZUELA               | ID        | ID                     | ID                     | ID                | lift +<br>concern | no action | Compliance<br>letter | Compliance<br>letter | Compliance letter                          |                                    |

|                               |            |            |                      |              |              |                                   |                                                                        |                                                       |                                                                  |                             |
|-------------------------------|------------|------------|----------------------|--------------|--------------|-----------------------------------|------------------------------------------------------------------------|-------------------------------------------------------|------------------------------------------------------------------|-----------------------------|
| <i>Cooperators</i>            |            |            |                      |              |              |                                   |                                                                        |                                                       |                                                                  |                             |
| <b>BOLIVIA</b>                | sanction   | sanction   | sanction lifted + ID | ID lifted    | coop granted | coop renew                        | coop renew and letter requesting improvement in reporting requirements | coop renew but note reporting issues                  | coop renew                                                       | <i>Sanctioned 2002-2011</i> |
| <b>CHINESE TAIPEI</b>         | coop renew | coop renew | coop renew           | coop renew   | concern      | coop renew                        | coop renew                                                             | coop renew but note reporting issues                  | coop renew                                                       |                             |
| <b>COSTA RICA</b>             |            |            |                      |              |              |                                   |                                                                        | coop granted                                          | coop renew but note reporting issues                             |                             |
| <b>GUYANA</b>                 | coop renew | coop renew | coop renew           | coop revoked | coop denied  | coop re-granted                   | Coop review and letter on reporting deficiencies                       | coop renew but note reporting issues                  | coop renew but note reporting issues                             |                             |
| <b>SURINAME</b>               | xx         | xx         | coop granted         | coop renew   | coop renew   | coop renew                        | coop renew                                                             | coop renew                                            | coop renew                                                       |                             |
|                               |            |            |                      |              |              |                                   |                                                                        |                                                       |                                                                  |                             |
| <i>NCPs currently with ID</i> |            |            |                      |              |              |                                   |                                                                        |                                                       |                                                                  |                             |
| <b>Dominica</b>               |            |            |                      |              |              | Letter requesting statistics data | Letter requesting statistics data                                      | ID                                                    | ID                                                               |                             |
|                               |            |            |                      |              |              |                                   |                                                                        |                                                       |                                                                  |                             |
| <i>Other NCPs</i>             |            |            |                      |              |              |                                   |                                                                        |                                                       |                                                                  |                             |
| <b>Cambodia</b>               | ID         | ID         | ID                   | ID           | ID           | ID                                | ID                                                                     | ID                                                    | Lift ID                                                          |                             |
| <b>Gibraltar</b>              |            |            |                      |              |              |                                   | Letter requesting information on autonomous BFT Quota                  | Letter requesting information on autonomous BFT Quota | Letter requesting additional information on autonomous BFT Quota |                             |
| <b>Sta. Lucia</b>             |            |            |                      |              |              |                                   | Letter requesting statistics data                                      | Letter requesting cooperation                         | Letter requesting further cooperation                            |                             |

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| <b>St. Kitts and Nevis</b> |  |  |  |  |  | Letter requesting statistics data | Letter requesting statistics data | Letter requesting cooperation | Letter requesting further cooperation |  |
|----------------------------|--|--|--|--|--|-----------------------------------|-----------------------------------|-------------------------------|---------------------------------------|--|

\*Panama joined ICCAT in 1999, Honduras in 2001, SVG in 2006, Sierra Leone in 2008 and Grenada in 2017.