Original: English

Appendix 1

HISTORY OF ACTIONS TAKEN BY THE COMMISSION FOLLOWING REVIEW BY THE COMPLIANCE COMMITTEE SINCE 2009

In 2009, the Compliance Committee began a review by individual CPCs, rather than by theme as previously. COC_308/17 is an Excel file. The columns for previous years are hidden in order to allow the last two years to be printed. However, it was previously agreed by the Compliance Committee that compliance history should be taken into account when considering actions to be taken regarding CPCs. The table below is a summary of the historic actions taken to date.

СРС	2009	2010	2011	2012	2013	2014	2015	2016	Observations
ALBANIA	concern	concern	ID	lift + concern	ID	lift + concern	Compliance letter	Compliance letter	
ALGERIA	ID	ID	lift + concern	no action	no action	no action	no action	no action	
ANGOLA	ID	ID	ID	ID	ID	lift + concern	Compliance letter	Compliance letter	
BARBADOS	ID	lift + concern	no action	concern	no action	no action	Compliance letter	Compliance letter	
BELIZE	concern	concern	concern	concern	no action	concern	Compliance letter	no action	Sanctioned 1996-2002
BRAZIL	ID	lift + concern	concern	no action	no action	concern	Compliance letter	Compliance letter	
CANADA	no action	concern	concern	concern	no action	no action	no action	no action	
CABO VERDE	ID	ID	lift + concern	concern	concern	concern	no action	no action	
CHINA (P.R)	ID	lift + concern	concern	no action	no action	no action	no action	no action	
CÔTE D'IVOIRE	ID	ID	lift + concern	concern	concern	concern	Compliance letter	Compliance letter	
CURAÇAO	coop renew	coop renew	coop renew	coop renew	coop renew	no action	no action	Compliance letter	
EGYPT	ID	lift + concern	concern	concern	no action	concern	Compliance letter	Compliance letter	

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EL SALVADOR	XX	xx	coop denied	coop granted	coop renew	concern	no action	Compliance letter	
EUROPEAN UNION	ID	ID	lift + concern	concern	concern	no action	no action	Compliance letter	
FRANCE (SPM)	ID	lift + concern	concern	no action	no action	no action	no action	no action	
GABON	ID	ID	lift + concern	concern	concern	concern	Compliance letter	Compliance letter	
GHANA	ID	ID	lift + concern	concern	concern	no action	no action	no action	
GUATEMALA	ID	ID	lift + concern	no action	no action	no action	no action	Compliance letter	
GUINEA BISSAU	XX	xx	xx	XX	xx	xx	xx	xx	
GUINEA EQUATORIAL	concern	concern	concern	concern	concern	concern	Compliance letter	Compliance letter	Sanctioned 1999-2003
GUINEA (REP. OF)	ID	ID	ID	lift + concern	concern	concern	Compliance letter	Compliance letter	
HONDURAS*	ID	ID	lift + concern	ID	ID	lift + concern	Compliance letter	Compliance letter	Sanctioned 1996-2002
ICELAND	no action	no action	no action	no action	no action	no action	no action	no action	
JAPAN	ID	lift + concern	concern	no action	concern	concern	no action	no action	
KOREA (REP. OF)	ID	ID	lift + concern	concern	no action	no action	no action	no action	
LIBERIA	XX	XX	XX	xx	xx	no action	Compliance letter	ID	
LIBYA	ID	ID	lift + concern	no action	no action	no action	Compliance letter	Compliance letter	
MOROCCO	ID	lift + concern	no action	no action	no action	no action	no action	no action	
MAURITANIA	concern	concern	concern	concern	concern	concern	Compliance letter	Compliance letter	
MEXICO	ID	lift + concern	concern	concern	concern	no action	no action	no action	

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NAMIBIA	ID	lift + concern	no action	no action	no action	no action	no action	no action	
NICARAGUA	ID	ID	ID	ID	lift + concern	concern	Compliance letter	Compliance letter	
NIGERIA	ID	ID	lift + concern	ID	lift + concern	no action	no action	no action	
NORWAY	no action	no action	no action	no action	no action	no action	no action	no action	
PANAMA*	ID	ID	ID	lift + concern	concern	concern	Compliance letter	Compliance letter	Sanctioned 1996- 1999
PHILIPPINES	ID	no action (lift id)	no action	no action	no action	concern	Compliance letter	Compliance letter	
RUSSIA	ID	lift + concern	no action	concern	no action	no action	no action	no action	
SÃO TOMÉ & PRINCIPE	ID	ID	lift + concern	concern	concern	concern	Compliance letter	ID	
SENEGAL	ID	lift + concern	no action	concern	no action	concern	no action	no action	
SIERRA LEONE*	ID	ID	ID	ID	ID Lifted	concern	Compliance letter	ID	Sanctioned 2002-2004
SOUTH AFRICA	concern	concern	concern	no action	no action	concern	Compliance letter	Compliance letter	
SVG*	concern	ID	lift + concern	concern	concern	no action	Compliance letter	Compliance letter	Sanctioned 2000-2001
SYRIA	concern	concern	ID	ID	lift + concern	concern	Compliance letter	Compliance letter	
TRINIDAD & TOBAGO	ID	lift + concern	concern	concern	concern	concern	ID	ID	Continued BUM &WHM overharvest
TUNISIA	ID	ID	no action (lift id)	concern	no action	no action	no action	Compliance letter	
TURKEY	ID	ID	no action (lift id)	no action	no action	no action	no action	Compliance letter	
UNITED KINGDOM (OTs)	ID	lift + concern	concern	concern	no action	no action	no action	Compliance letter	

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UNITED STATES	concern	concern	concern	no action	no action	no action	no action	no action	
URUGUAY	no action	concern	no action	no action	no action	no action	no action	no action	
VANUATU	ID	ID	ID	lift + concern	no action	concern	Compliance letter	Compliance letter	
VENEZUELA	ID	ID	ID	ID	lift + concern	no action	Compliance letter	Compliance letter	
Cooperators									
BOLIVIA	sanction	sanction	sanction lifted + ID	ID lifted	coop granted	coop renew	coop renew and letter requesting improvement in reporting requirements	coop renew but note reporting issues	Sanctioned 2002-2011
CHINESE TAIPEI	coop renew	coop renew	coop renew	coop renew	concern	coop renew	coop renew	coop renew but note reporting issues	
COSTA RICA								coop granted	
GUYANA	coop renew	coop renew	coop renew	coop revoked	coop denied	coop re- granted	Coop review and letter on reporting deficiencies	coop renew but note reporting issues	
SURINAME	xx	xx	coop granted	coop renew	coop renew	coop renew	coop renew	coop renew	
NCPs currently with ID									
Cambodia	ID	ID	ID	ID	ID	ID	ID	ID	
Domenica						Letter requesting statistics data	Letter requesting statistics data	ID	

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Grenada		Letter requesting statistics data	Letter requesting statistics data	ID
Other NCPs				
Sta. Lucia			Letter requesting statistics data	Letter requesting cooperation
St. Kitts and Nevis		Letter requesting statistics data	Letter requesting statistics data	Letter requesting cooperation
Gibraltar			Letter requesting information on autonomous BFT Quota	Letter requesting information on autonomous BFT Quota
· · · · ·	duras in 2001, SVG in 2006 and Sierra Leon	ie in 2008.	•	
xx = not reviewed as not a CPC at that	time/not under scrutiny at an NCP			