Original: English

### MEASURES IN FORCE WHICH DO NOT REQUIRE AND IN-DEPTH REVIEW BY COC

| [66-01] | Resolution on the collection of statistics on the Atlantic tuna fisheries  Included in review of 11-15   |
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| [75-02] | ICCAT Scheme of Joint International Inspection Review will take place under 14-04 and 16-05, under which this scheme has been activated  |
| [93-08] | Resolution by ICCAT on cooperation with the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)  Expression of intent, no review by COC required   |
| [93-09] | Resolution by ICCAT concerning the composition of the delegations of ICCAT Contracting Parties to CITES  Expression of intent, no review by COC required   |
| [94-06] | Resolution by ICCAT on coordination with non-Contracting Parties<br>Reviewed under 03-20   |
| [94-09] | Resolution by ICCAT on compliance with the ICCAT conservation and management measures (including Addendum)  Non-binding resolution but should be used to report vessel sightings. This Resolution needs to be updated  |
| [96-15] | Resolution by ICCAT on large-scale pelagic driftnets  Non-binding resolution and no direct reporting requirements for CPCs. Information may be included in Annual Reports or under Rec. 03-04  |
| [94-14] | Recommendation by ICCAT for the management of Atlantic swordfish Only one para operative, which encourages action by CPCs, but does not require it   |
| 95-02]  | Resolution by ICCAT on cooperation with the Food and Agriculture Organization of the United Nations (FAO) with regard to study on the status of stocks and by-catches of shark species  Non-binding and no reporting or obligations imposed on CPCs                            |
| [99-05] | Recommendation by ICCAT Concerning Possible Management Measures for Northern Albacore The only requirement for CPCs is to provide Task I and II data, which is covered by other COC review items [repealed by 16-06, but in force for compliance review period]                |
| [99-07] | Resolution by ICCAT on improving recreational fishery statistics  A non-binding Resolution, but CPCs should include information in Annual Reports  |
| [99-11] | Resolution by ICCAT calling for further actions against illegal, unregulated, and unreported fishing activities by large-scale longline vessels in the Convention area and other areas Non-binding and provisions are not of the type for which CPC compliance can be assessed |
| [99-12] | Resolution by ICCAT concerning the need for new approaches to deter activities that diminish the effectiveness of ICCAT conservation and management measures  Non-binding and provisions are not of the type for which CPC compliance can be assessed                          |
| [99-13] | Resolution by ICCAT endorsing the International Plan of Action for the Management of Fishing Capacity (IPOA)  No obligations on CPCs and nothing to review by COC  |

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| [00-20] | Resolution by ICCAT for integrated monitoring measures In general, COC is not required to Review the ToRs of the WGs established by Commission, unless specific to the procedures of COC                                |
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| [01-04] | Resolution by ICCAT for evaluating alternatives to reduce catches of juveniles or dead discards of swordfish  Not binding, and mainly calls for scientific review   |
| [01-13] | Supplemental Recommendation by ICCAT Regarding Compliance in the Bluefin Tuna and Atlantic Swordfish Fisheries  Measures on carry over are included in the more recent Recommendations on bluefin and swordfish         |
| [01-16] | Resolution by ICCAT on the deadlines and procedures for data submission<br>Para 2 has been overtaken by 16-16. Review of deadlines to be considered in conjunction with<br>each requirement                             |
| [01-18] | Resolution by ICCAT further defining the scope of IUU fishing [Non-binding and no direct obligations or way of measuring compliance]  |
| [02-26] | Resolution by ICCAT concerning cooperative actions to eliminate illegal, unreported and unregulated fishing activities by large-scale tuna longline vessels Non-binding and no reporting or obligations imposed on CPCs |
| [03-10] | Resolution by ICCAT on the shark fishery  Non-binding and no reporting or obligations imposed on CPCs   |
| [03-19] | Recommendation by ICCAT concerning the amendment of the forms of the ICCAT bluefin/bigeye/swordfish statistical documents  Taken into account in the Review of 01-21 and 01-22  |
| [03-21] | Resolution by ICCAT on improvements in data collection and quality assurance<br>Directives to SCRS for review by Plenary/STACFAD  |
| [05-07] | Resolution by ICCAT concerning the change in the registry and flagging of vessels<br>Non-binding resolution and no reporting or obligations imposed on CPCs   |
| [05-08] | Resolution by ICCAT on circle hooks  Non-binding and no direct obligations or way of measuring compliance   |
| 05-11]  | Resolution by ICCAT on pelagic Sargassum  Non-binding and not otherwise relevant to COC review of other measures  |
| [06-08] | Resolution by ICCAT on Fishing Bluefin Tuna in the Atlantic Ocean Non-binding, and there are no means available to determine compliance with this measure   |
| [06-16] | Recommendation by ICCAT on an Electronic Statistical Document Pilot Program  Does not contain provisions for which review of compliance is warranted by COC   |
| [06-17] | Resolution by ICCAT to Establish a Working Group on Sport and Recreational Fisheries In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC  |
| [06-18] | Resolution by ICCAT to Strengthen ICCAT In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC   |

| [06-19] | Resolution by ICCAT to Establish a Capacity Working Group [In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC]   |
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| [08-06] | Resolution by ICCAT Concerning Atlantic Bluefin Tuna Scientific Research on Stock Origin and Mixing  Non-binding, and deficiencies in implementation can be addressed by other ICCAT bodies (e.g., SCRS)  |
| [08-10] | Recommendation by ICCAT to Harmonize the Measurement of Length of the Vessels Authorized to Fish in the Area of the Convention  Intended to clarify other Recommendations and does not require review by COC  |
| [08-11] | Recommendation by ICCAT Amending Ten Recommendations and Three Resolutions<br>Administrative in nature, does not require review by COC  |
| [09-09] | Recommendation by ICCAT Amending Three recommendations in Conformity with the 2009 Recommendation by ICCAT Concerning the Establishment of an ICCAT Record of Vessels 20 Metres in Length Overall or Greater authorized to Operate in the Convention Area  Administrative in nature, compliance will be reviewed under the original recommendations |
| [11-06] | Recommendation by ICCAT Concerning the Atlantic-Wide Research Programme for Bluefin Tuna (GBYP)  For consideration by SCRS and STACFAD – any compliance issues would be considered in the context of 14-04 or 16-09   |
| [11-13] | Recommendation by ICCAT on the Principles of Decision Making for ICCAT Conservation and Management Measures  Directives to Panels which do not require review by COC  |
| [11-14] | Resolution by ICCAT to Standardize the Presentation of Scientific Information in the SCRS Annual Report and in Working Group Detailed Reports  *Directives to SCRS which do not require review by COC*  |
| [11-17] | Resolution by ICCAT on Best Available Science  Directives to SCRS which do not require review by COC  |
| [11-23] | Recommendation by ICCAT to Amend the Terms of Reference of the Permanent Working Group for the Improvement of ICCAT Statistics and Conservation Measures (PWG)  In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC   |
| [11-24] | Recommendation by ICCAT to Amend the Mandate and Terms of Reference Adopted by the Commission for the ICCAT Conservation and Management Measures Compliance Committee (COC)  In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC                                      |
| [11-25] | Resolution by ICCAT on a Program of Work for the Working Group on the Future of ICCAT In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC   |
| [12-05] | Recommendation by ICCAT on Compliance with Existing Measures on Shark Conservation and Management Out of date – information reviewed under Rec. 16-13   |

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| [12-09] | Recommendation by ICCAT on a Process towards the Establishment of a Catch Certification Scheme for Tuna and Tuna-Like Species  Out of date, nothing to review  |
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| [12-10] | Recommendation by ICCAT to Establish a Working Group to Develop Amendments to the ICCAT Convention  In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC            |
| [12-11] | Resolution by ICCAT Regarding the Presentation of Objections in the Context of Promoting Effective Conservation and Management Measures Adopted by ICCAT<br>Administrative / procedural and non-binding in nature                                |
| [12-12] | Resolution by ICCAT on the Sargasso Sea  Directives to SCRS for review by Plenary  |
| [13-10] | Recommendation by ICCAT on Biological Sampling of Prohibited Shark Species by Scientific Observers  Any compliance issues arising would be reviewed in the context of the relevant Recs for those species  |
| [13-12] | Recommendation by ICCAT on the Rules of Procedure for the Standing Committee on Research and Statistics (SCRS)  Directives to SCRS which do not require review by COC  |
| [13-15] | Resolution by ICCAT to Complete the Standardization of the Presentation of Scientific Information in the SCRS Annual Report  Directives to SCRS which do not require review by COC   |
| [13-16] | Recommendation by ICCAT Amending Annex 1 of Recommendation 11-20 on an ICCAT Bluefin Tuna Catch Documentation Program <i>Taken into account in review of 11-20</i>   |
| [13-19] | Recommendation by ICCAT on the Establishment of a Scientific Capacity Building Fund for Developing States which are ICCAT Contracting Parties  For consideration by STACFAD, no review by COC required   |
| [14-02] | Recommendation by ICCAT Concerning the Implementation of an Atlantic Ocean Tropical Tuna Tagging Programme (AOTTP)  No reporting or obligations imposed on CPCs  |
| [14-08] | Recommendation by ICCAT to Support Effective Implementation of Recommendation 12-07 by ICCAT for an ICCAT Scheme for Minimum Standards for Inspection in Port Does not establish CPC obligations in need of review by COC                        |
| [14-11] | Resolution Establishing Guidelines for the Cross-Listing of Vessels Contained on IUU Vessel Lists of other Tuna RFMOs on the ICCAT IUU Vessel List in Accordance with Recommendation 11-18  Procedural in nature; implementation overseen by PWG |
| [14-12] | Recommendation by ICCAT to Establish an ad hoc Working Group for Preparing the Next Performance Review In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC         |

| [14-13] | Recommendation by ICCAT Amending the Recommendation by ICCAT for Enhancing the Dialogue between Fisheries Scientists and Managers In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC             |
|---------|---|
| [14-14] | Recommendation by ICCAT amending Recommendation 11-26 on the Establishment of a Meeting Participation Fund for Developing ICCAT Contracting Parties Guidelines for the Commission which do not require review by COC. For consideration in STACFAD                              |
| [15-07] | Recommendation by ICCAT on the Development of Harvest Control Rules and of Management Strategy Evaluation  Directives to SCRS which do not require review by COC  |
| [15-08] | Recommendation by ICCAT Amending Deadlines of Two ICCAT Recommendations<br>Taken into account during review of relevant Recs  |
| [15-09] | Resolution by ICCAT Establishing Guidelines for the Implementation of the Recommendation 11-15 by ICCAT on Penalties Applicable in the Case of Non-Fulfilment of Reporting Obligations  This Resolution needs to be implemented by, but not reviewed by COC                     |
| [15-11] | Resolution by ICCAT Concerning the Application of an Ecosystem Approach to Fisheries Management  Guidelines for the Commission which do not require review by COC   |
| [15-12] | Resolution by ICCAT Concerning the Use of a Precautionary Approach in Implementing ICCAT Conservation and Management Measures Non-binding and No reporting or obligations imposed on CPCs   |
| [15-13] | Resolution by ICCAT on Criteria for the Allocation of Fishing Possibilities<br>Criteria to be taken into account by Panels  |
| [16-02] | Recommendation by ICCAT to Establish an Ad hoc Working Group on Fish Aggregating Devices (FADs) Sets forth ToRs for the WG, but no reporting or obligations imposed on CPCs   |
| [16-16] | Recommendation by ICCAT to Amend ICCAT Reporting Deadlines in Order to Facilitate an Effective and Efficient Compliance Process  Compliance will be reviewed under each Recommendation (as amended)   |
| [16-17] | Resolution by ICCAT Establishing an ICCAT Schedule of Actions to Improve Compliance and Cooperation with ICCAT Measures  Procedural in nature and does not require review by COC  |
| [16-18] | Recommendation by ICCAT to Clarify and Supplement the Process for Seeking Capacity Building Assistance Pursuant to ICCAT Recommendation 14-08 In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC |
| [16-19] | Recommendation by ICCAT for the Development of an Online Reporting System  In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC  |

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| [16-20]        | Resolution by ICCAT to Establish an Ad Hoc Working Group to Follow up on the Second ICCAT Performance Review In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC   |
|----------------|--|
| [16-21]        | Resolution by ICCAT on the Third Meeting of the Standing Working Group for Enhancing the Dialogue between Fisheries Scientists and Managers (SWGSM)  In general, COC is not required to review the ToRs of the WGs established by Commission, unless specific to the procedures of COC |
| [16-22]        | Resolution by ICCAT to Facilitate an Effective and Efficient Compliance Process<br>Procedural in nature, requires implementation but not review by COC   |
| [16-23]        | Resolution by ICCAT on Ecosystems that are Important and Unique for ICCAT Species Work to be carried out by SCRS and considered in Plenary   |
| Other Decision | ns included in ICCAT Compendium:   |

#### Other Decisions included in ICCAT Compendium:

| 09-13 | ICCAT inspection reports   |
|-------|--|
| 05-12 | Guidelines and criteria for granting observer status at ICCAT meetings |
| 02-31 | General Outline of Integrated Monitoring Measures Adopted by ICCAT     |
| 75-02 | ICCAT Scheme of joint international inspection                         |