Original: English/French
Appendix 1

ISSUES OF POTENTIAL NON-COMPLIANCE REPORTED BY OBSERVERS UNDER THE ICCAT REGIONAL OBSERVER PROGRAMMES

ICCAT Regional Observer Programme for Transhipment (ROP-Trans)

	Date Reported	*Flag*	*Carrier*	*Deployment No.*	*PNC*	*PNC confirmed*	*Response/Explanation/Action Taken*
1	30/3/17	Chinese Taipei	Lady Tuna	196/17	Power light on the vessels VMS was off and the VMS power supply was connected through a switch.		After checking our system, we can confirm that our vessel had normally reported its positions on the transshipment date. The VMS diagram is provided as attachment 1 (VMS track sent).
2	30/3/17	China	Lady Tuna	196/17	Name on the bow of the vessel was obscured by rust and algal growth.		It is because the vessel stayed on the sea for a long time and its name was eroded by the sea. Please note that we paid much attention to such PNC and asked the fishing vessel owner to place more emphasis on this issue and periodically re-paint it to make it clearly and visibel. Currently, the fishing vessel owner had already refurbished the name, please find attachment for your reference.
3	14/2/17	Chinese Taipei	Shota Maru	194/16	Markings not displayed correctly at the stern of the vessel, the name was partially faded and barely legible.		We have already notified the vessel owner of such incident and have requested the fishing vessels to repaint their markings once the operation of repainting is possible.

4	23/1/17	China	Chitose	192/16	The logbook was not kept up to date, with the last entry being dated to 07/01/2017.		After investigation, we were advised by the fishing vessel owner that it is the captain's habit to firstly record everyday's fishing activities on an individual notebook, and then copy it to the official logbook, but the captain did not have time to copy it to the official logbook due to busy work at sea during that period of time. We have asked the fishing vessel owner to pay more attention to this issue and fill in the logbook everyday whether it works or not, the logbook must be kept up-to-date.
5	12/1/17	Japan	Shota Maru	194/16	No power light visible on the Argos Marge V2 VMS. The LSPLV master informed the observer that the bulb had recently stopped functioning but was assured the VMS unit itself was still transmitting and receiving information. No documentation to confirm this was presented to the observer.	no	Information has been transmitted by VMS unit from this vessel to our agency even though the power light on VMS unit went out. File containing messages sent to the Secretariat as evidence.

6	12/1/17	Chinese Taipei	Taisei Maru No.15	193/16	Authorisation to fish dates were not valid. The master of the vessel was made aware of the compliance issue but was unable to produce a valid ATF.		The Fisheries Agency issued the "Fishing License for Fishing Vessels" of the carrier on 5 September, 2016, and the expiration date was 4 September, 2020. As proven, it was an unintentional error presenting the expired License. In the light of new laws and regulations entering into force on 20 January, 2017, "The Distant Water Fisheries Permit" has replaced the aforementioned Fishing License (As shown in attachment 2).
7	27/3/17	Chinese Taipei	Chikuma	195/16	LSPLV call sign had partially eroded and could be mistaken at a distance.		We have already notified the vessel owner of such incident and have requested the fishing vessels to repaint their markings once the operation of repainting is possible. The vessel has repainted it. (photo, as attachment 3) .
8	7/3/17	Japan	Chikuma	195/16	No power light working on the VMS unit. The LSPLV master informed the observer that the vessel was heading to Sao Vicente after the transhipment to replace.	no	The vessel seemed to have experienced a technical trouble of its VMS system on February 17th, and since then, the vessel had communicated VMS reports to our FMC in accordance with the Rec 14-09, para 4. VMS plot chart submitted as evidence. The vessel had already entered into Sao Vicente on March 8th to replace its VMS system to a new one immediately.
9	17/3/17	Korea	Chikuma	195/16	LSPLV markings on the bow and stern were not legible as they were obscured by rust and dirt.		MOF gave an instruction and the operator had the vessel clean the obscured area so that the stern marking of the vessel name can be readily visible (photos were sent as evidence).

10	17/3/17	Korea	Chikuma	195/16	LSPLV markings on the bow and stern were not consistent. The observer has confirmed the ATT and ATF are consistent with the name on the bow.		Ministry of Oceans and Fisheries(MOF) gave an instruction to the operator to have the name correctly written first thing the vessel enters into a port (photos were sent as evidence).
11	17/3/17	Chinese Taipei	Chikuma	195/16	LSPLV markings on the starboard were not legible as they were obscured by dirt or had eroded off.		We have already notified the vessel owner of such incident and have requested the fishing vessels to repaint their markings once the operation of repainting is possible.
12	20/3/17	China	Lady Tuna	196/17	Power light visible on the VMS and that the pages in the vessels logbook were not numbered.		One is no power light visible on the VMS, please be advised that according to our VMS platform we can poll this vessel's position normally, smoothly and accurately, the fishing vessel owner advised that it probably due to the equipment is too old to see the power light, but the VMS itself is OK, we can give the VMS track if necessary. The other is the pages in the logbook were not numbered. This PNC obviously is more severe, it happened only because the careless of the captain, please be advised that we have asked the fishing vessel owner to order the captain fill in the number in the logbook timely and avoid such PNC to happen again.

13	7/4/17	Chinese Taipei	Lady Tuna	196/17	The LSPLV name marked on the stern had partially eroded and was obscured by algae/dirt.		We have already notified the vessel owner of such incident and have requested the fishing vessels to repaint their markings once the operation of repainting is possible. The vessel has repainted it. (photo, as attachment 4)
14	7/4/17	Cote d'Ivoire	Lady Tuna	196/17	The ATF of the LSPLV was only valid for the 12NM area from Côte d'Ivoire and was not valid for the Atlantic high seas; the power supply for the VMS was connected by a switch, the vessel logbook was not printed or bound.	Regarding the 12 NM, vessels authorised to fish from 12 NM including the high seas. The circumstances surrounding the VMS and the logbooks are infringements carrying penalties under Article 105 of Law 2016-554 of 26 July 2016 on fishing and aquaculture.	In accordance with Article 93 of Law 2016-554 of 26 July 2016, the Minster responsible for the fisheries, with the assistance of an ad hoc commission which will be established for this purpose, will reach an agreement, on behalf of the State, with the vessel owner. A written notification of the fine set by the Minister will be sent to the vessel owner.
15	7/4/17	Cote d'Ivoire	Lady Tuna	196/17	The LSPLV's ATF was only valid for the 12 NM area from Côte d'Ivoire and was not valid for the Atlantic high seas; the power supply for the VMS was connected by a switch, the vessel logbook was not printed or bound.	Regarding the 12 NM, vessels authorised to fish from 12 NM including the high seas. The circumstances surrounding the VMS and the logbooks are infringements under Article 105 of Law 2016-554 of 26 July 2016 on fishing and aquaculture.	In accordance with Article 93 of Law 2016-554 of 26 July 2016, the Minster responsible for the fisheries, with the assistance of an ad hoc commission which will be established for this purpose, will reach an agreement, on behalf of the State, with the vessel owner. A written notification of the fine set by the Minister will be sent to the vessel owner.

16	7/4/17	Chinese Taipei	Chikuma	195/16	The LSPLV name marked on the bow was obscured by algae/dirt.		We have already notified the vessel owner of such incident and have requested the fishing vessels to repaint their markings once the operation of repainting is possible.
17	13/4/17	Chinese Taipei	Chikuma	195/16	The LSPLV name marked on the bow had partially eroded and was obscured by algae/dirt. The captain agreed to clean the markings.		We have already notified the vessel owner of such incident and have requested the fishing vessels to repaint their markings once the operation of repainting is possible. The vessel has repainted it. (photo as attachment 5)
18	13/4/17	SVG	Chikuma	195/16	The LSPLV VMS unit was not shown to the observer. The observer could locate the VMS Antenna but not the box.	No	The vessel Dae Sung 216 was reporting on the 13th April, 2017. The vessel track and log for the day have been sent to the Secretariat.
19	5/6/17	Chinese Taipei	Ibuki	200/17	The name on the bow was obscured and difficult to read. The LSPLV master informed the observer that the vessel was en route to port after the transhipment, and the issue with vessel markings would be rectified in port.		We have already notified the vessel owner of such incident and have requested the fishing vessels to repaint their markings once the operation of repainting is possible. The vessel has repainted it. (photo, as attachment 6)

20	19/12/16	Japan	Chitose	192/16	The logbook was printed but unbound.		An electronic logbook system has already been introduced for some vessels. For other vessels, bound logbook has been introduced since 2016 fishing season. Due to logistic reasons, bound logbook had not been delivered to this vessel at the time of report. The bound logbook has already been delivered to and used by this vessel to date.
21	27/10/16	Chinese Taipei	Taisei Maru No.24	189/16	Bow markings of the LSPLV were obscured by rust and algae and were difficult to read.		We have already notified the vessel owner of such incident and have requested the fishing vessels to repaint their markings once the operation of repainting is possible. The vessel has repainted it. (photo, as attachment 7).
22	28/10/16	Chinese Taipei	Taisei Maru No.24	189/16	Bow markings of the LSPLV were obscured by algal growth and were difficult to read.		We have already notified the vessel owner of such incident and have requested the fishing vessels to repaint their markings once the operation of repainting is possible. The vessel has repainted it. (photo, as attachment 8)
23	17/10/16	Chinese Taipei	Taisei Maru No.24	189/16	The bow and stern markings of the LSPLV were worn away and difficult to read.		We have already notified the vessel owner of such incident and have requested the fishing vessels to repaint their markings once the operation of repainting is possible. The vessel has repainted it. (photo, as attachment 9)
24	5/6/17	Japan	Taisei Maru No.15	201/17	The logbook was not bound.		An electronic logbook system has already been introduced for some vessels. For other vessels, bound logbook has been introduced since 2016 fishing season. Due to logistic reasons, bound logbook had not been delivered to this vessel at the time of report. The bound logbook has already been delivered to and used by this vessel to date.

25	6/6/17	China	Ibuki	200/17	The name on the stern of vessel was incorrect (words in reverse order). In addition, although the observer saw antennae units for two VMS units (ARGOS Marge V2 and Thrane & Thrane Capsat TT-3026S), the LSPLV master was unable to show the observer the internal units.	For the vessel name, you may misunderstand about the vessel name and the registry port, the letters "SHANG HAI" on the vessel stern means the vessel home port/registry port, it is the SHANG HAI city of China where this vessel registered, and the vessel name is clearly displayed on the vessel hull. Regarding the VMS, having polled positions from our VMS platform, it is shown that this vessel's VMS is normal, it may be caused due to the language difficulty that the master was unable to show the VMS internal units.	Rescinded by consortium in light of response.