

Original: English/French

**20th SPECIAL MEETING OF THE
INTERNATIONAL COMMISSION FOR THE CONSERVATION OF ATLANTIC TUNAS**
(Portugal, 14-21 November 2016)

TENTATIVE COMMISSION AGENDA (ANNOTATED)

1. Opening of the meeting
The meeting will be opened by Mr. S. Depypere, First Vice Chair to the Commission. Dignitaries from the host CPC will also make some opening remarks.
2. Adoption of Agenda and meeting arrangements
*The tentative agenda has been circulated as document **PLE-100/16**. The Secretariat will serve as rapporteur, if requested. The letter from the Commission Chair regarding priorities and logistical issues is available as **GEN-008/16**. The tentative timetable for the meeting is **GEN-002/2016**. An explanation of meeting document numbers is contained in **GEN-003/16**. All documents will be available on line. Instructions on how to connect will be circulated as **GEN-005/16**.*
3. Introduction of Contracting Party Delegations
*Mr. Driss Meski, ICCAT Executive Secretary, will introduce the Contracting Party Delegations. Guinea-Bissau is welcomed as new Contracting Party. As in previous years, Delegates are requested to give opening statements in writing only. The list of participants will be made available during the meeting as **GEN-006/16**.*
4. Introduction of Observers
*Mr. Driss Meski, ICCAT Executive Secretary, will introduce the observers present. **GEN-006/16***
5. Review of the report ICCAT Performance Review Panel
*The Coordinator of the Panel of the Second ICCAT Performance Review will present the main findings and recommendations of the Panel on general issues, contained in the Report **PLE-103/16**.*
6. Review of the work of the Standing Committee on Research and Statistics (SCRS)
*Dr. David Die, Chair of the SCRS, will present the main findings and recommendations of the SCRS on general issues, contained in the SCRS Report **PLE-104/16**, including responses to Commission requests to evaluate data deficiencies pursuant to [Rec. 05-09], and a 5-year schedule for the establishment of species-specific HCRs, Rec. [15-07] paragraph 4. The Secretariat report on Research and Statistics is distributed as **PLE-105/16**.*
7. Review of the reports of the Panel 2 Intersessional meetings and consideration of any necessary actions
*The reports of the intersessional meetings of Panel 2 have been made available as document **PLE-110/16** and **PLE-111/16**. The Chair will indicate the sections to be considered by the Commission subsidiary bodies.*
8. Review of the Report of the intersessional meeting of the Compliance Committee and consideration of any necessary actions
*The report of the intersessional meeting of the Compliance Committee has been made available as document **PLE-106/16**. The Chair will indicate the sections to be considered by the Commission subsidiary bodies.*
9. Review of the report of the Eleventh Meeting of the Working Group on Integrated Monitoring Measures and consideration of any necessary actions
*The report of the Working Group on IMM has been made available as document **PLE-101/16**. The Chairman will indicate the sections to be considered by the Commission subsidiary bodies.*

10. Review of the report of the Working Group on FADs and consideration of any necessary actions
*The report of the Working Group on FADs has been made available as document **PLE-112/16**. The Chair will indicate the sections to be considered by the Commission subsidiary bodies. Document **PLE-116/16** refers to the initiative taken by the Secretariat related to a joint t-RFMO FAD Working Group meeting.*
11. Review of the report of the Fourth Meeting of the Working Group on Convention Amendment and consideration of any necessary actions
*The report of the Working Group on Convention Amendment has been made available as document **PLE-107/16**. This Report contains the draft amendments proposed by the Working Group for the consideration of the Commission.*
12. Report of the Standing Committee on Finance and Administration (STACFAD) and consideration of any proposed recommendations therein
*The Chair of STACFAD will report on the work undertaken and the recommendations of STACFAD, including the budget and contributions for the 2017 period (**STF-203/16**); the Mechanism for financing and allocating the Meeting Participation Fund (**STF-209/16**) and the ICCAT communication policy (**STF-205/16**) which will be presented to the Commission for consideration and possible adoption. The STACFAD Chair will also report the Commission findings on the use of the Working Capital Fund and recommendations for the future financing of, inter alia, the eBCD and AOTTP projects and the procedures for the selection of the next Executive Secretary.*
13. Reports of Panels 1 to 4 and consideration of any proposed recommendations therein.
*If available during the meeting, the Panel Reports will be distributed as, **PA1-550/16, PA2-650/16, PA3-750/16, and PA4-850/16**. The Commission should consider Recommendations forwarded by the Panel for adoption.*
14. Report of the Conservation and Management Measures Compliance Committee (COC) and consideration of any proposed recommendations therein
*The Commission should consider recommendations forwarded by the Committee, as well as the Compliance Tables (**COC-304/16**) and actions which may be required on the basis of the COC discussions, which will be available in **COC-308/16**.*
15. Report of the Permanent Working Group for the Improvement of ICCAT Statistics and Conservation Measures (PWG) and consideration of any proposed recommendations therein
*The Commission should consider recommendations forwarded by the Group, including the approval of IUU list (**PWG-405/16**)*
16. Assistance to developing coastal states and capacity building
*The Executive Secretary will summarise the activities during the last year. More details can be found in **STF-202/16**.*
17. Report on actions taken under the Kobe process
*The Executive Secretary will present document **PLE-109/16**.*

The Commission may wish to consider future joint actions by tRFMOs. Three areas have been identified for consideration: joint t-RFMOs ad hoc Working Group on FADs, CLAV maintenance and possible cooperation with IOTC on port inspection measures.

In addition, ICCAT is chairing an MSE workshop under the Kobe process, as well as a meeting on Ecosystem based fisheries management implementation.
18. Report on the implementation of the GEF project
*Information is contained in document **PLE-109/16**.*
19. Intersessional meetings in 2017
The Commission may wish to set the dates and venues for intersessional meetings in 2017.
20. Other matters

21. Date and place of the next meeting of the Commission

The dates proposed for the next Commission meeting are 13-20 November 2017

22. Adoption of the report and adjournment.

**TENTATIVE AGENDA OF THE CONSERVATION AND
MANAGEMENT MEASURES COMPLIANCE COMMITTEE (COC) (ANNOTATED)**

1. Opening of the Meeting
The meeting will be opened by Mr. Derek Campbell, Compliance Committee Chair.
2. Appointment of Rapporteur
3. Adoption of the Agenda
*The Agenda has been circulated as **COC-300/16***
4. Review of actions taken by CPCs in response to letters of concern and Chair letters arising from the 2015 meeting.
*The responses to the letters sent following the 2015 Commission meeting are contained in document **COC-309/16**.*
5. Review of implementation of and compliance with the ICCAT requirements
 - 5.1 Compliance tables
*The Compliance Tables, **COC-304/16** will be distributed by the Secretariat before the Meeting. Delegations wishing to introduce changes must request them **in writing** before 18:00 hours, Monday 14 November 2016. Changes should be given to the ICCAT reception desk or sent to info@iccat.int*
 - 5.2 CPC Annual Reports, Statistical data summaries Compliance summaries
*Annual reports submitted by CPCs are available as **COC-301/16**; The Secretariat Report on Research and Statistics, **PLE-105/16** gives details of data submissions received before 15 October 2016. A summary of information for the implementation of Rec. 11-15 is contained in **COC-303/16**. The Secretariat has prepared draft individual compliance summaries based on the information submitted by each CPC. These summaries also contain references to compliance issues raised for each CPC during the year which may need to be considered. These will be made available as **COC-308/16**.*

***COC-303/16** and **PLE-105/16** contain information on shark data and other information collected under pertinent Recommendations.*
 - 5.3 Inspection and observer reports
*Inspection reports received under the Joint Scheme of International Inspection are summarised in Table 1 of the Secretariat's Report to the Compliance Committee. Information on Port Inspection required under Rec. 12-07 is also contained in the **COC-303/16**.*

*Observer reports for the ROP-BFT are contained in Annexes 1 and 2 to **COC-305/16**. Observer reports from the ROP-transshipment have been published on the password protected web site in accordance with the provisions of Rec. 12-06. Observer reports received under the Tropical Tuna observer programme, being implemented domestically by the CPCs involved, are contained in Annex 3 to **COC-305/16**.*
 - 5.4 Other relevant information
 - Reports on Access Agreements have been summarised and made available as Table 11 to **COC-303/16** and as Annex 6 to **COC-303/16** for the European Union access agreements.
 - No information was submitted by NGOs in accordance with Rec. 08-09 in 2016.
 - Paragraph 18 to 20 of Rec. 15-01 require that information on FAD management plans be submitted by the Executive Secretary to the Compliance Committee. The information received has been summarised in Appendix 6 of **COC-303/16**. Reports submitted by CPCs on E-BFT fishing plan implementation and implementation of Rec. 13-07/14-04 are contained in document **COC-302/16**.

- 5.5 CPC-by-CPC review of compliance with ICCAT requirements
- 5.6 Review of information relating to NCPs
- 6. Actions to address issues of non-compliance by CPCs and issues relating to NCPs arising from items 4 and 5
*The outcomes of the discussions of the above documents will determine the actions to be taken. These will be included in the final version of **COC-308/16** to be attached to the report of the Committee.*
- 7. Review of requests for cooperating status
*Bolivia, Chinese Taipei, Guyana and Suriname are currently enjoy cooperating status. Their compliance summaries are included in **COC-308/16**. A new request was received in 2016 from Costa Rica, though no statistics nor compliance forms were submitted.*
- 8. Recommendations to the Commission to improve compliance
- 9. Other matters
- 10. Adoption of Report and adjournment
*It is expected that the Report will be adopted by correspondence. If available during the meeting, it will be distributed as **COC-350/16**.*

**TENTATIVE AGENDA OF THE PERMANENT WORKING GROUP FOR THE
IMPROVEMENT OF ICCAT STATISTICS AND CONSERVATION MEASURES (PWG) (ANNOTATED)**

1. Opening of the meeting
The meeting will be opened by Mr. Fabrizio Donatella, Chair of the PWG.
2. Appointment of the Rapporteur
3. Adoption of the Agenda
*The Agenda has been circulated as **PWG-400/16**.*
4. Consideration of actions referred from the IMM Working Group
*The report of the Working Group on Integrated Monitoring Measures (IMM) is contained in document PLE-101/16. This report includes: an update on the progress of the implementation of the eBCD Programme (Section 6.1 of the IMM/i2016 Report) **PWG-403/16**; a proposal for the revision of obsolete Recommendations/Resolutions [Rec. 97-11 and Res. 94-09] which require an update by combining them (see also Appendix 6), submitted by the USA (**PWG-404/16**); a draft recommendation to strengthen capacity in port inspection (Appendix), contained in **PWG-407/2016**.*

This report also includes the IMM recommendation on a Consolidated List of Authorised Vessels (CLAV) underlining the need for commitment and ICCAT cooperation with other tRFMOs, in particular as financial assistance, to be considered at the 2016 Annual meeting (Section 7.4).
5. Consideration of the effectiveness and practical aspects of implementation of:
 - 5.1 Catch Documentation and Statistical Document Programmes
*Recommendations 01-21; 01-22; 03-19; 11-20: 13-16 and 13-17 apply.
See also item 4 of the Agenda regarding the discussions on the SDP (Section 6.2 of the IMM/i2016 Report).*
 - 5.2 Progress of eBCD
Recommendations 11-20; 13-07, 13-17/14-04, and 15-10 apply.

*The progress report of the Technical Working Group is contained in document **PWG-403/16**.
See Item 4 also: an update of the progress of the implementation of the eBCD Programme (Section 6.1 of the IMM/i2016 Report, document **PLE-101/16**).*
 - 5.3 ICCAT Regional Observer Programmes
Recs. 12-06 and 14-04 currently apply.

*Information on the implementation of the ROP-BFT observer programmes is contained in **PA2-601/16**;*

*Information on the ROP-TRANS observer programme for at-sea transshipment is contained in **PWG-402/16**.*

*See also Draft Recommendation by ICCAT on Protecting the Health and Safety of Observers - Item 5.12 (**PWG-408/16**)*
 - 5.4 At-sea and in-port transshipment requirements
*Recommendations 12-06; 13-07/14-04; 13-09/14-05 and 14-01/15-01 apply. Reports from CPCs are contained in **COC-305/16**.*

*See also Draft recommendation on transshipment, amending Rec. 12-06, submitted again by the EU - Item 4 (**PWG-406/16**).*

- 5.5 Rules for chartering and other fishing arrangements
The following Recommendations apply: 13-14 and 14-07. Provisions relating to chartering are also contained in: 01-21, 01-22, 03-12, 11-18, 12-07, 13-10 and 14-04.
- A summary of chartering arrangements and reports from CPCs have been presented to the Compliance Committee in **COC-303/16**.*
- 5.6 At-sea vessel sighting and inspection programmes
*Currently, Resolution 94-09 remains in force. Rec. 14-04 also applies. **PLE-101/16** (Report of 11th IMM Meeting) contains a draft Recommendation on Joint Inspection in Appendix 5 of that report.*
- A Draft Recommendation on Vessel Sightings has been submitted by the United States (**PWG-404/16**) to combine and replace Res. [94-09] and Rec. [97-11].*
- 5.7 Port inspection schemes and other port State measures
*Compliance with implementation of Rec. 12-07 and Rec. 14-04 is contained in **COC-303/16**.*
- A Draft Recommendation to Clarify and Supplement the Process for Seeking Capacity Building Assistance Pursuant to ICCAT Recommendation 14-08 has been submitted and is available as **PWG-407/16**.*
- 5.8 Vessel listing requirements
Recommendations 98-08, 11-01, 12-06, 13-01/14-01/15-01, 13-17/14-04 and 13-13/14-10 apply.
- 5.9 Requirements of the Consolidated List of Vessels (CLAV)
A 6 month CLAV maintenance contract was made.
See Item 4 also: need for commitment and ICCAT cooperation with other tRFMOs, in particular as regards financial assistance, to be considered at the 2016 Annual meeting, as recommended by the IMM/i2016 (Section 7.4) concerning the Consolidated List of Authorised Vessels (CLAV).
- 5.10 Vessel Monitoring Satellite System requirements
Rec. 14-09 and 14-04 currently apply.
*The data and tables regarding VMS messages received by the Secretariat are contained as **COC-303/16**. Information regarding development aspects are contained in **PWG-401/16**.*
- 5.11 Flag State responsibilities
Rec. 03-12 specifically lists the duties of flag States, although additional responsibilities are implicit in other ICCAT Recommendations.
- 5.12 Other issues
*The United States has submitted the following proposal for consideration, Draft Recommendation by ICCAT on Protecting the Health and Safety of Observers (**PWG-408/16**).*
6. Consideration of additional technical measures needed to ensure effective implementation of ICCAT's conservation and management measures
*Draft Recommendation by ICCAT on Vessel Sightings (**PWG-404/16**), following the discussions at IMM/i2016 (Section 7.1 and Appendix 6).*
7. Review and establishment of the IUU vessel list
*The provisional list for consideration by the PWG is contained in document **PWG-405/16***
8. Recommendations to the Commission based on findings of above
***PWG-401/16** contains some requests for clarification on conservation and management measures. Responses to these requests would be helpful for CPCs to ensure correct implementation.*
9. Other matters
10. Adoption of the report and adjournment

**TENTATIVE AGENDA OF THE STANDING COMMITTEE
ON FINANCE AND ADMINISTRATION (STACFAD) (ANNOTATED)**

1. Opening of the meeting
The meeting will be opened by the STACFAD Chair, Ms. Sylvie Lapointe.
2. Appointment of the Rapporteur
The Secretariat will serve as rapporteur, if requested.
3. Adoption of the Agenda
*The Tentative Agenda has been distributed as **STF-200/16**.*
4. Reports from the Secretariat
 - 4.1 2016 Administrative Report
*The Secretariat's Administrative Report is presented as **STF-201/16***
 - 4.2 2016 Financial Report
*The Secretariat's Financial Report is presented as **STF-202/16***
 - 4.3 Review of progress of the payment of arrears and voting rights
*Information on arrears is contained in **STF-204/16**.*
5. Consideration of financial implications of measures proposed and SCRS requests
The Commission has requested CPCs making proposals which may have financial/resource impacts on the Commission/Secretariat to present these for discussion in STACFAD.
6. Assistance to developing CPCs and identification of mechanism to financing the Meeting Participation Fund and other capacity building activities
*The report on expenditure and estimation of future financing of this Fund is contained in **STF-208/16 and STF-209/16**.*
7. Consideration of other programmes/activities which may require additional or extra-budgetary funding
*Presentation by the Secretariat on the study of cost of implementation of eBCD - **STF-207/16**.*
8. Review of findings of Virtual Working Group on Communications Policy and actions required
*The report of this virtual working group and its proposals will be distributed as **STF-205/16**.*
9. Procedures for selection of the Executive Secretary
*The Terms of Reference developed by the virtual Working Group are contained in **STF-206/16**.*
10. Budget and Contracting Party contributions for 2017
*The draft budget for 2017 was pre-approved by the STACFAD during the 2015 meeting and was circulated (ICCAT Circular #6502/16) on 27 September 2016. It is contained in **STF-203/16** for consideration with minor revisions.*
11. Other matters
12. Adoption of the report and adjournment

TENTATIVE AGENDA OF PANEL 1 (ANNOTATED)

1. Opening of the meeting
The meeting will be opened by Côte d'Ivoire, Chair of Panel 1.
2. Appointment of Rapporteur
3. Adoption of Agenda
*The tentative agenda has been distributed as **PA1-500/16**.*
4. Review of Panel membership
*Document **GEN-004/16** lists the Contracting Parties that are members of the Panel.*
5. Report of the Standing Committee on Research and Statistics (SCRS)
*Relevant information is contained in the SCRS report **PLE-104/16**. The SCRS Chair will present the SCRS findings, as well as its responses to the Commission on: i) the evaluation of the efficacy of the area/time closure referred to in paragraph 13 for the reduction of catches of juvenile bigeye and yellowfin tunas, Rec. [15-01] paragraph 15; ii) revision of the provisional limits laid down in paragraph 16 in relation with the limitation of FADs, [Rec. 15-01] paragraph 17; and, iii) revision of the appropriate coverage level of scientific observers in pursuant to Recommendation 10-10, Rec [15-01] paragraph 40.*
6. Measures for the conservation of stocks and implementation of the ICCAT Criteria for the Allocation of Fishing Possibilities
In accordance with paragraph 48 of Rec. 15-01 Recommendation by ICCAT on a Multi-Annual Conservation and Management Programme for Tropical Tunas shall be revised in 2016.
7. Research
*The Chair of the SCRS will present the activities carried out in 2016 and future plans for the AOTTP, which are contained in Appendix 8 to **PLE-104/16**.*
8. Other matters
9. Adoption of the report and adjournment

TENTATIVE AGENDA OF PANEL 2 (ANNOTATED)

1. Opening of the meeting
The meeting will be opened by Japan, Chair of Panel 2.
2. Appointment of Rapporteur
3. Adoption of Agenda
The tentative agenda has been distributed as PA2-600/16.
4. Review of Panel membership
Document GEN-004/16 lists the Contracting Parties that are members of the Panel.
5. Report of the Standing Committee on Research and Statistics (SCRS)
Relevant information is contained in the SCRS report PLE-104/16. The SCRS Chair will present the SCRS findings, as well as its responses to the Commission on: i) Continued work on the identification of spawning grounds in the Atlantic and Mediterranean and provide advice to the Commission on the creation of sanctuaries, [Rec. 14-04] paragraph 24; ii) the annual update on any changes of the estimated bluefin catch rates per vessel and gear. Rec. [14-04] paragraphs 43; iii) results of exploration of operationally viable technologies and methodologies for determining the size and biomass at the points of capture and caging, Rec. [14-04] paragraph 82; iv) the evaluation of the results of the 100% coverage programme using stereoscopic cameras systems or alternative techniques that provide the equivalent precision to refine the number and weight of the fish during all caging operations. Rec. [14-04] paragraph 83; v) the evaluation of the bluefin tuna national observer programmes conducted by CPCs, together with any advice on future improvements, Rec. [14-04] paragraph 88; vi) reviewing the information from BCDs and other submitted data and further study growth rates so as to provide updated growth tables to the Commission, [Rec. 14-04] paragraph 96; vii) clarification by the Commission regarding the use of algorithms for the purpose of bluefin tuna caging operations in Mediterranean Sea during May-June period; viii) the request from the Second Meeting of the Working Group of Fisheries Managers and Scientists in support of the WBFT Stock Assessment: SCRS to explore options/proposals for the development of new fishery independent indices of abundance and the improvement of existing western bluefin tuna indices;
6. Measures for the conservation of stocks and implementation of the ICCAT Criteria for the Allocation of Fishing Possibilities
When considering management measures, the Panel should take into account the recommendation of the SWGSM that "To support the development of HCRs and associated reference point, starting at the 2015 Commission meeting, the Panels commence discussion to identify management objectives, as well as, relevant parameters for HCR and performance indicators on a stock by stock basis, with priority focus on north Atlantic albacore, bluefin tuna, north Atlantic swordfish and tropical tunas;"

The Panel may also wish to take into account the findings of the intersessional meetings of Panel 2, PLE-110/16 and PLE-111/16.
7. Research
The Chair of the SCRS will present activities carried out in 2016 and future plans for the ICCAT GBYP are contained in Appendix 4 to PLE-104/16.
8. Other matters
9. Adoption of the report and adjournment

TENTATIVE AGENDA OF PANEL 3 (ANNOTATED)

1. Opening of the meeting
The meeting will be opened by South Africa, Chair of Panel 3.
2. Appointment of Rapporteur
3. Adoption of Agenda
*The tentative agenda has been distributed as **PA3-700/16**.*
4. Review of Panel membership
*Document **GEN-004/2016** lists the Contracting Parties that are members of the Panel.*
5. Report of the Standing Committee on Research and Statistics (SCRS)
*Relevant information is contained in the SCRS report **PLE-104/16**. The SCRS Chair will present the SCRS findings*
6. Measures for the conservation of stocks and implementation of the ICCAT Criteria for the Allocation of Fishing Possibilities
*TACs and catch limits for southern albacore for 2016 were set in 2013, through Rec. 13-06. A new stock assessment was conducted for southern Atlantic albacore in 2016. Relevant information is contained in the SCRS Report - **PLE-104/16**.*

When considering management measures, the Panel should take into account the recommendation of the SWGSM that “To support the development of HCRs and associated reference point, starting at the 2015 Commission meeting, the Panels commence discussion to identify management objectives, as well as, relevant parameters for HCR and performance indicators on a stock by stock basis, with priority focus on north Atlantic albacore, bluefin tuna, north Atlantic swordfish and tropical tunas”.
7. Research
8. Other matters
9. Adoption of the report and adjournment

TENTATIVE AGENDA OF PANEL 4 (ANNOTATED)

1. Opening of the meeting
The meeting will be opened by Brazil, Chair of Panel 4
2. Appointment of Rapporteur
3. Adoption of Agenda
*The tentative agenda has been distributed as **PA4-800/16**.*
4. Review of Panel membership
*Document **GEN-004/2016** lists the Contracting Parties that are members of the Panel*
5. Report of the Standing Committee on Research and Statistics (SCRS)
*Relevant information is contained in the SCRS Report **PLE-104/16**. The SCRS Chair will present the SCRS findings.*
6. Measures for the conservation of stocks and implementation of the ICCAT Criteria for the Allocation of Fishing Possibilities
*Stock assessments for sailfish and Mediterranean swordfish were carried out in 2016. Additionally, an Ecological Risk Analysis (ERA) was updated for the small tuna caught by longline fisheries. Relevant information is contained in the SCRS Report **PLE-104/16**.*

When considering management measures, the Panel should take into account the recommendation of the SWGSM that “To support the development of HCRs and associated reference point, starting at the 2015 Commission meeting, the Panels commence discussion to identify management objectives, as well as, relevant parameters for HCR and performance indicators on a stock by stock basis, with priority focus on north Atlantic albacore, bluefin tuna, north Atlantic swordfish and tropical tunas;”

*Japan has submitted the following proposal for consideration of Panel 4, Draft Recommendation by ICCAT on Improvement of Compliance Review of Shark Conservation and Management Measures by ICCAT, document **PA4-802/16**.*

*The United States has submitted the following proposal for consideration of Panel 4: A “Draft Recommendation by ICCAT Concerning the Conservation of Sharks Caught in Association with Fisheries Managed by ICCAT”, document **PA4-803/16**; and, a Draft Recommendation by ICCAT on Monitoring and avoiding Cetacean Interactions in ICCAT Fisheries, document **PA4-804/16**.*
7. Research
*The Working Group on Small Tunas has recommended, inter alia, the continuation of the ICCAT annual SMTYP Research Programme activities in 2016 to further improve the biological data collection for the main species identified by the Group. The Shark Working Group has also presented a research plan. These documents are included in **PLE-104/16**.*
8. Other matters
9. Adoption of the report and adjournment