

Original: English/French

**ISSUES OF POTENTIAL NON-COMPLIANCE REPORTED BY
 OBSERVERS UNDER THE ICCAT REGIONAL OBSERVER PROGRAMMES**

(ICCAT Secretariat)

Observer reports are contained in electronic Annexes to this document. **Annex 1** contains the reports from observer on vessels under the ICCAT Regional Observer Programme for eastern Atlantic and Mediterranean Bluefin tuna (ROP-BFT); **Annex 2** contains the reports from observer on farms/traps under the ROP-BFT and **Annex 3** contains the reports submitted under the domestic observer programmes for Tropical Tunas (OP-TROP). Reports for the Regional Observer Programme for transshipment are published on the ICCAT web site, as required by Rec. 12-06, on www.iccat.int/Documents/Comply/transshipmentreports.pdf

1. ICCAT Regional Observer Programme for Transshipment (ROP-Trans)

A total of forty-seven potential non-compliance alerts were issued by observers under the Regional Observer Programme for Transshipment during the past year.

Fifteen of these have been confirmed by the CPCs (8 “yes” and 7 “no”). These, together with the actions taken are summarized below. Practically all the issues have been resolved by actions taken by the CPCs concerned. Please note that this summary is based on the Secretariat’s reading, and a full list of PNCs and responses are contained in **Appendix 1** (available electronically in original language only), for review by the Compliance Committee.

<i>CPC</i>	<i>Total No. of PNCs issued</i>	<i>Total No. of responses</i>	<i>Total PNCs confirmed</i>	<i>PNC confirmed</i>	<i>Action taken</i>
China	12	12	8	1. The LSPLV on the authorization to transship did not match the name of the vessel. 2. The name on the bow was worn and difficult to read.	1. Mistake by administration in spelling of vessel name showed in the authorization letter, will do best to avoid such error. 2. We have asked the fishing vessel owner to re-print and decorate its vessel including all the marking displayed on the vessel hull as soon as its call at next port.
Japan	20	20	0	Reminder as regards to unbound logbooks with no number, reported as PNC.	In 2015, an electronic logbook system was developed in collaboration with the relevant industries, and had already been tested. As the number of vessels that inserted electronic logbooks was limited, logbooks bound to other vessels, were recorded from August 2016, to guarantee compliance of requirements regarding ICCAT logbooks.
Korea	2	2	0		
SVG	3	0			

<i>CPC</i>	<i>Total No. of PNCs issued</i>	<i>Total No. of responses</i>	<i>Total PNCs confirmed</i>	<i>PNC confirmed</i>	<i>Action taken</i>
Chinese Taipei	10	10	0		

2. ICCAT Regional Observer Programme for eastern Atlantic and Mediterranean Bluefin tuna (ROP-BFT)

2.1 Vessels

A total of one hundred and ten (110) potential non-compliance alerts were issued by observers under the ROP-BFT in 2016 embarked on purse seine vessels. Following investigation, the CPCs confirmed a total of 26 issues (yes) and 18 issues (no), taking into account that a CPC has not provided any confirmations for 43 issues (neither “yes” or “no”); another CPC did not provide confirmation for 23 issues (neither “yes” or “no”). This, as well as the actions taken, are summarized below. Please note that this summary is based on the Secretariat’s reading, and a full list of PNCs and responses are contained in **Appendix 2** for review by the Compliance Committee. The type of PNC has not been summarized, given the diverse nature of these.

<i>CPC</i>	<i>Number of vessels in ROP</i>	<i>Total number of PNCs reported</i>	<i>Responses received from CPC</i>	<i>Number of PNCs confirmed by CPC</i>	<i>Number of Vessels with confirmed PNCs</i>	<i>Vessels with more than one confirmed PNC</i>	<i>Vessels with more than one confirmed PNC</i>
Albania	1	0	n/a				n/a
Algeria	11	17	17	15	8	5	In the majority of cases, PNS were due to administrative delays or errors completing the forms. Provisions will be developed in the future to improve the transcription and reporting of information.
Egypt	2	0	n/a				n/a
EU	47	45	45	0	0	0	See details included in Appendix 2 and clarification provided by EU.
Libya	14	24	24	1	1	0	Due to transfers that were not conducted at the same time towards the two cages, the notification was provided to carry it out again in order to enable the observer to proceed with the video recording verification of the quantity transferred, allowing the ITD.
Morocco	2	0	n/a				n/a
Norway	1	0	n/a				n/a
Syria	1	0	n/a				n/a

<i>CPC</i>	<i>Number of vessels in ROP</i>	<i>Total number of PNCs reported</i>	<i>Responses received from CPC</i>	<i>Number of PNCs confirmed by CPC</i>	<i>Number of Vessels with confirmed PNCs</i>	<i>Vessels with more than one confirmed PNC</i>	<i>Vessels with more than one confirmed PNC</i>
Tunisia	27	12	12	10	10	2	Mainly due to the camera not working, inappropriate logbooks, dead fish reporting, errors in eBCD completion, careless mistakes of the captains. The necessary corrections were made.
Turkey	19	12	12	0	0	0	The operators and captains were warned.

2.2. Farms and traps

A total of fifty-six potential non-compliance alerts were issued by observers under the ROP-BFT in 2016 deployed on bluefin tuna farms or traps. Following investigation, the CPCs have reported that only four non-compliance reports were confirmed, and that the necessary provisions were taken to solve them and that three non-compliance reports were not confirmed. One CPC did not provide any confirmation for 49 issues (neither “yes” or “no”). Please note that the summary below is based on the Secretariat’s reading, and a full list of PNCs and responses are contained in **Appendix 3**, for review by the Compliance Committee. The type of PNC has not been summarized, given the diverse nature of these.

<i>CPC</i>	<i>No. of farms/traps in ROP</i>	<i>Total No. of PNCs reports issued</i>	<i>Responses received by CPC</i>	<i>Number of PNCs confirmed by CPC</i>	<i>Number of farms/traps with confirmed PNCs</i>	<i>Farms/traps with more than one confirmed PNC</i>	<i>Actions taken by CPC</i>
EU	14 (12 farms + 2 traps)	49	49	0	0	0	(See details in Appendix 3 and clarification provided by EU)
Morocco	3 (1 farm + 2 traps)	1	1	0	0	0	The quality of the camera recording did not allow a correct count; the operation was conducted again to remedy the situation; ITD signed by the observer (see detail in Appendix 3)
Tunisia	5 farms	4	4	4	2	1	The issues raised were solved (see details in Appendix 3)
Turkey	6 farms	2	2	0	0	0	However, the operators and captains were warned.